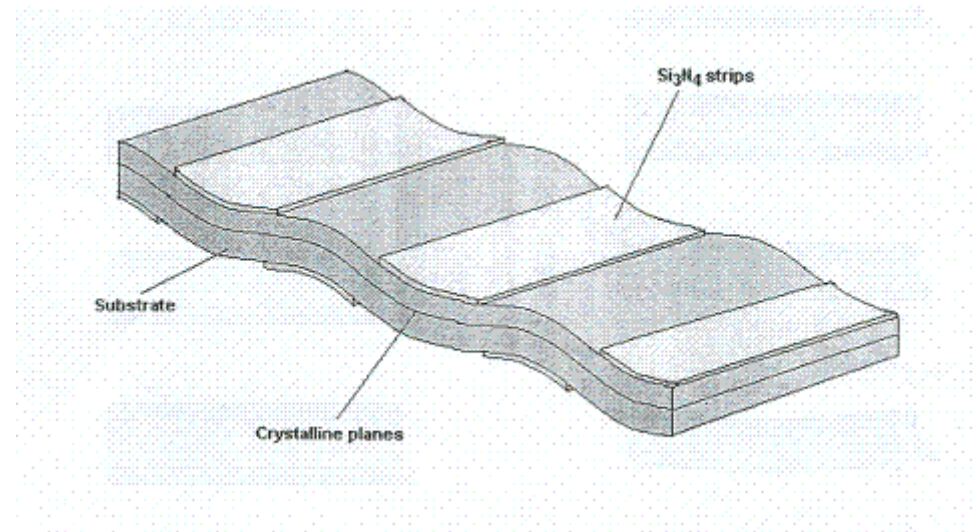


Elastic bilayered plates with mismatch strain

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Univ. Ferrara



Surface micromachining



(111) oriented silicon monocrystal



Si_3N_4 deposition by CVD



Si_3O_2 deposition by CVD



Deposition of photoresistant polymer



Selective etching of Si_3O_2



Removal of Si_3N_4 and photoresistant polymer



Removal of Si_3O_2

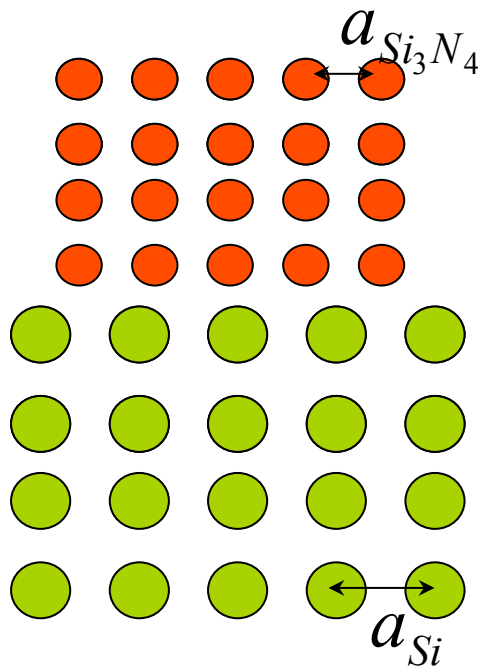
Deformation

$$\varepsilon_0 = \varepsilon_{INT} + \varepsilon_{EXT}$$

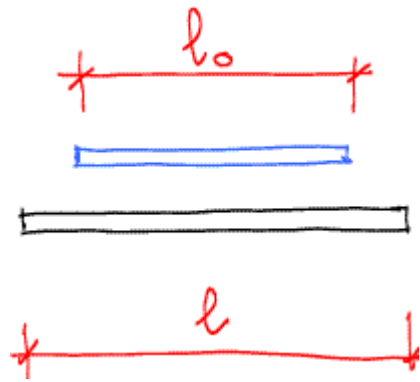
➤ Temperature induced deformation:

$$\varepsilon_{EXT} = (\alpha_{Si} - \alpha_{Si_3N_4}) \Delta T$$

➤ Deformation due to lattice mismatch :

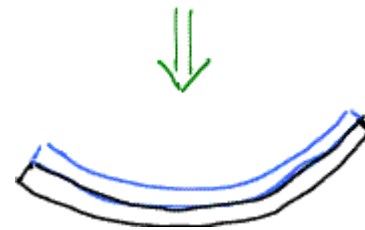


$$\varepsilon_{INT} = \frac{(a_{Si} - a_{Si_3N_4})}{a_{Si_3N_4}}$$

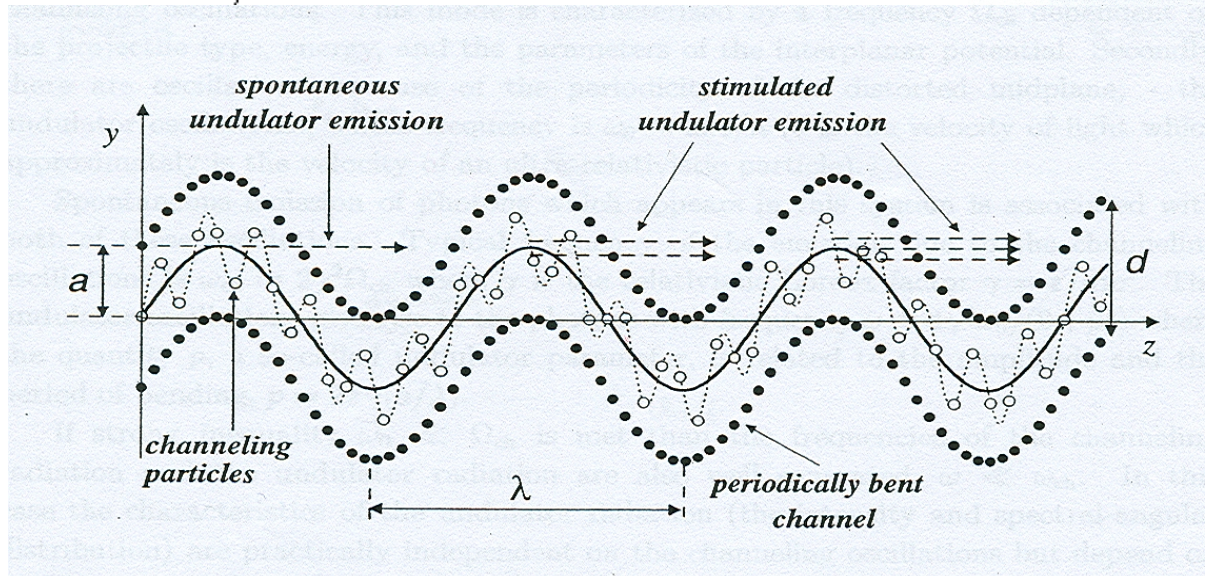
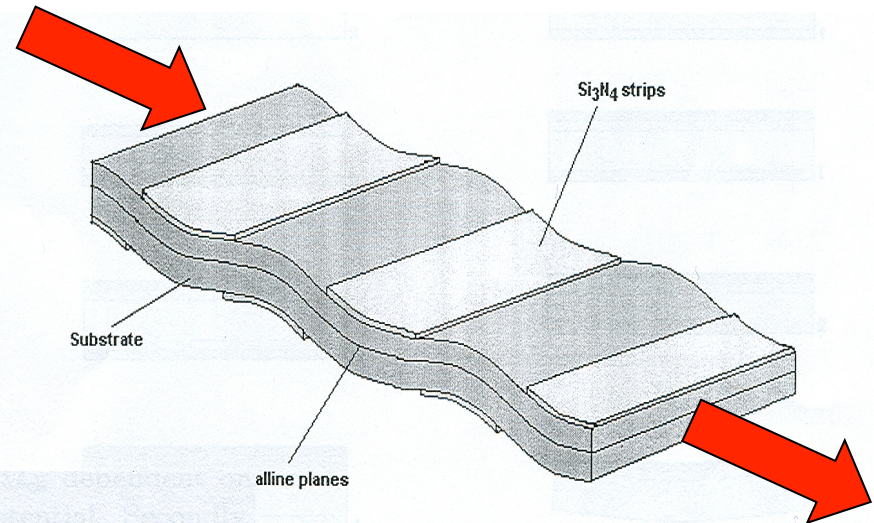
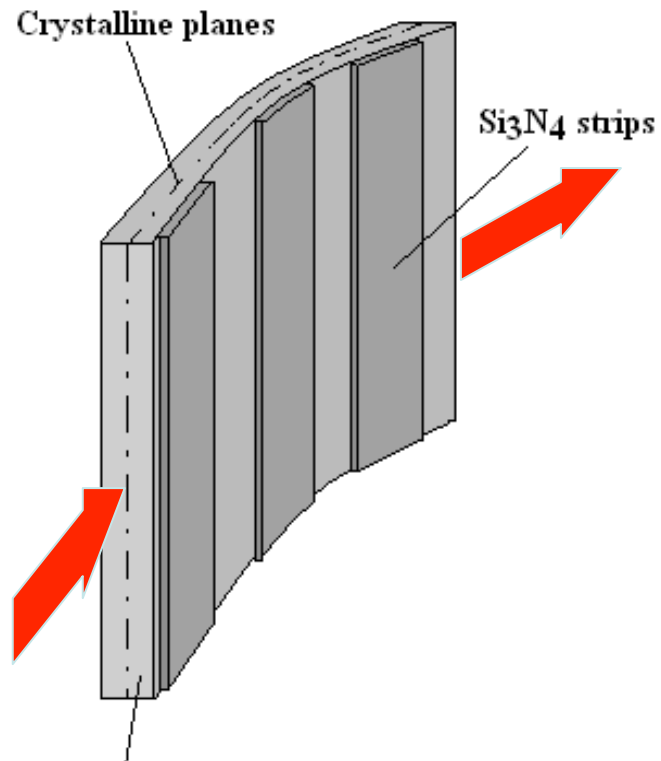


Mismatch
deformation

$$\varepsilon_0 = \frac{l - l_0}{l_0}$$

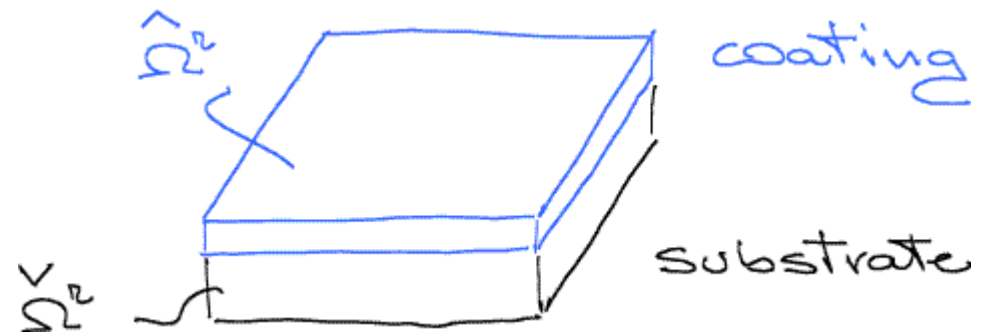


Applications



Undulator

3D-Mechanical modeling



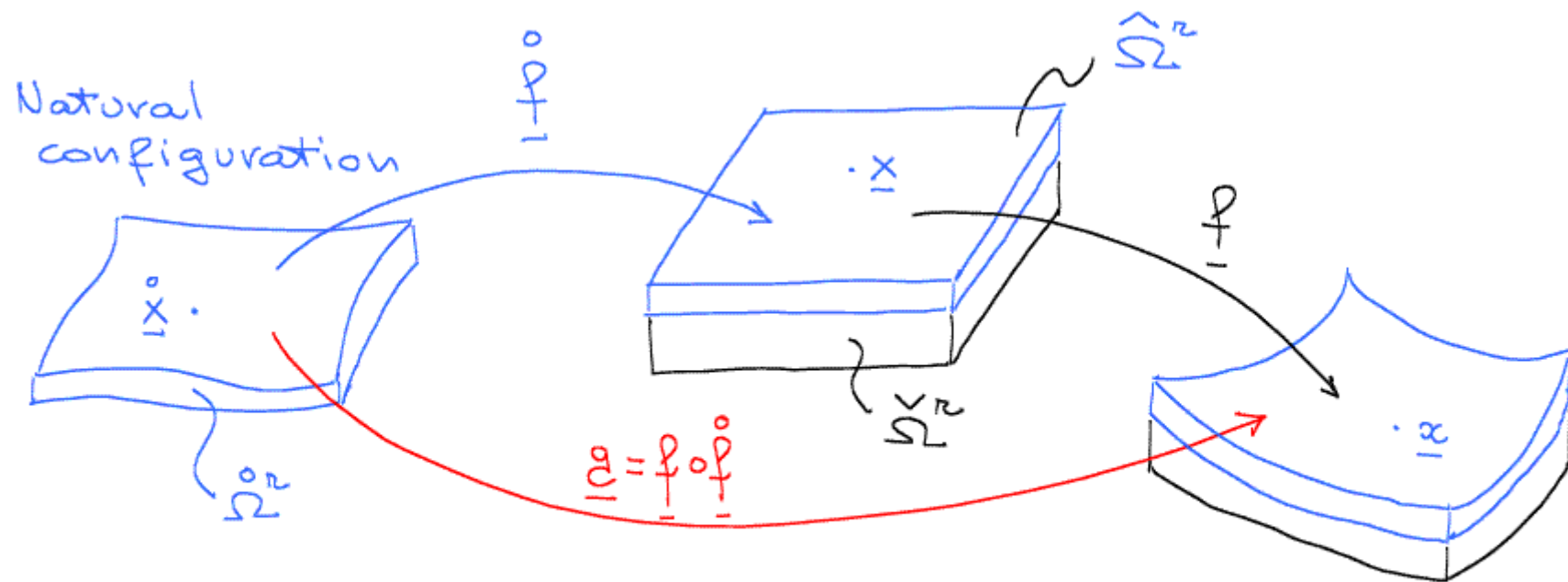
- The substrate is a linear, homogeneous, hyperelastic body.

The reference configuration $\check{\Omega}^r$ is natural.

$$\check{W}(E) = \frac{1}{2} \mathbb{C}^r E \cdot E$$

- The coating is a linear, homogeneous, hyperelastic body.

The reference configuration $\hat{\Omega}^r$ is NOT natural.



$$\Omega^r := \hat{\Omega}^r \cup \Omega_0^r$$

$$\varphi_0 : \Omega_0^r \rightarrow \hat{\Omega}^r$$

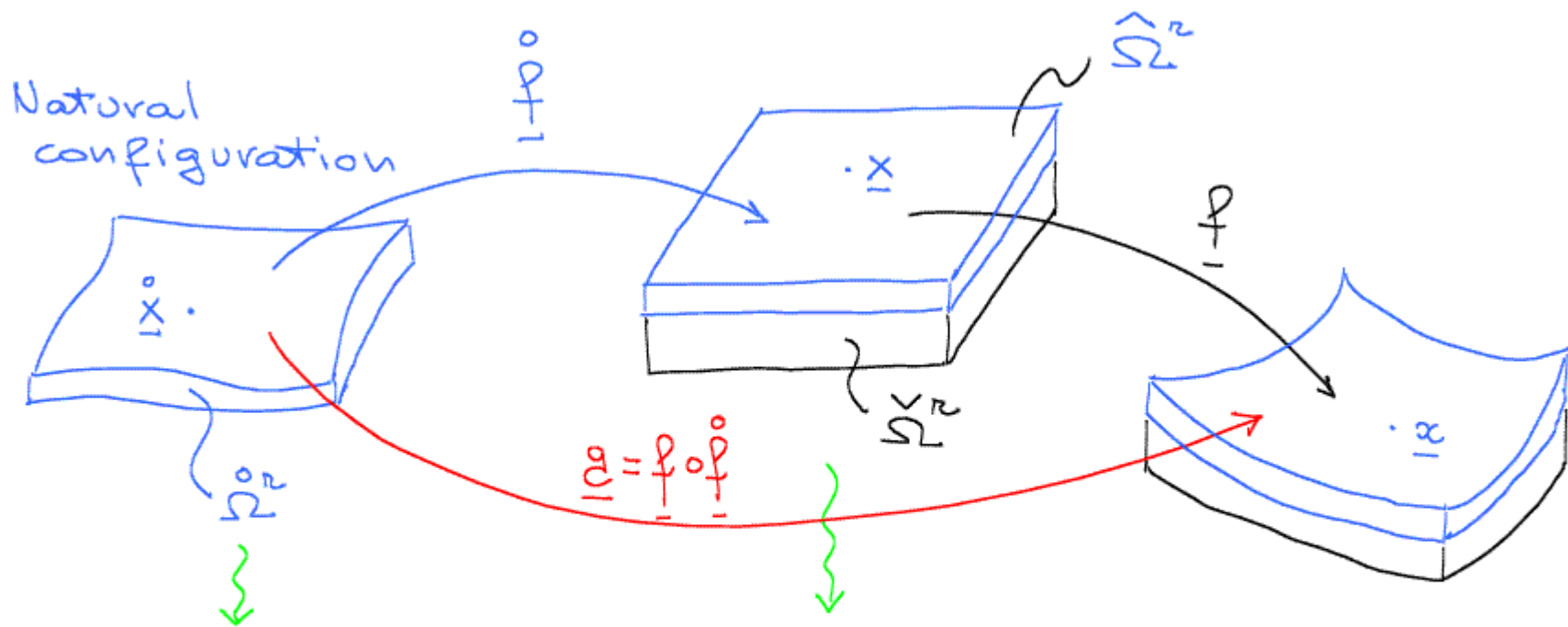
$$\varphi_0(\Omega_0^r) = \hat{\Omega}^r$$

$$\varphi : \Omega^r \rightarrow \mathbb{R}^3$$

$$\mathbb{F}_0 = \nabla \varphi_0$$

$$\mathbb{F} = \nabla \varphi$$

$$\underline{\underline{G}} = \nabla \underline{\underline{g}} = \mathbb{F} \mathbb{F}_0^o$$



$$\int_{\underline{\Omega}^0} \hat{\underline{W}}(\underline{G}(\underline{x}^0)) d\underline{x}^0$$

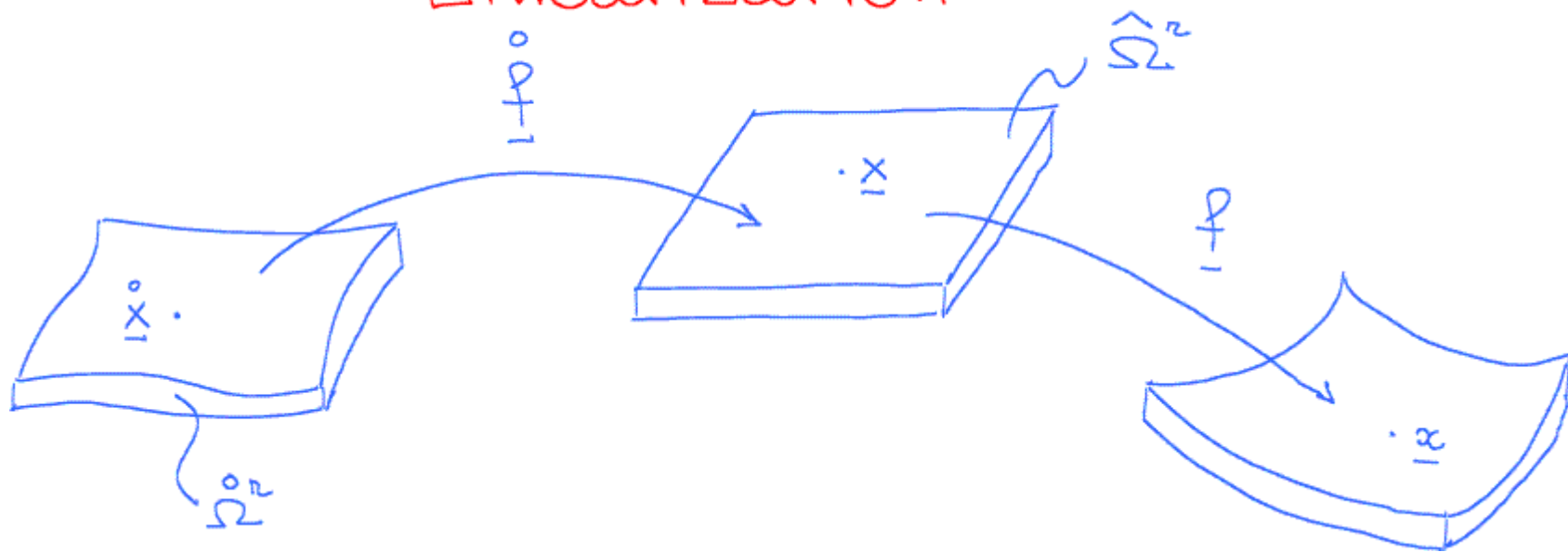
$$\int_{\hat{\underline{\Omega}}^R} \hat{\underline{W}}(\underline{x}, \underline{F}(\underline{x})) d\underline{x}$$

$$\hat{\underline{W}}(\underline{x}, \underline{F}) := \det \underline{F}^0 \hat{\underline{W}}(\underline{F} \underline{F}^0(\underline{\varphi}^0(\underline{x})))$$

$$\hat{\underline{S}} = D\hat{\underline{W}}$$

$$\underline{\hat{I}}^R(\underline{x}) = \hat{\underline{S}}(\underline{x}, \underline{F}) = D\hat{\underline{W}}(\underline{F}) \underline{F}^T \det \underline{F}^{-1}$$

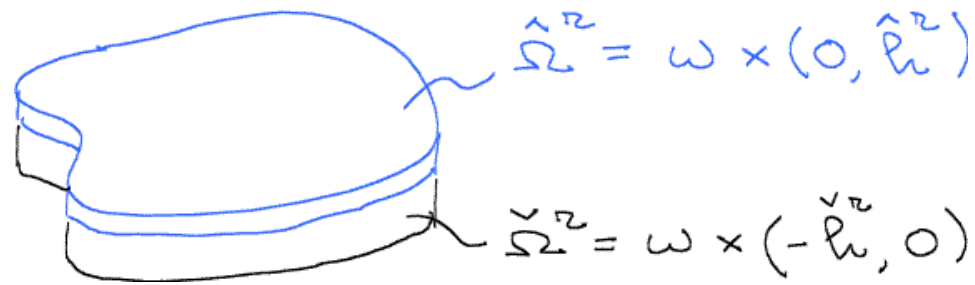
Linearization



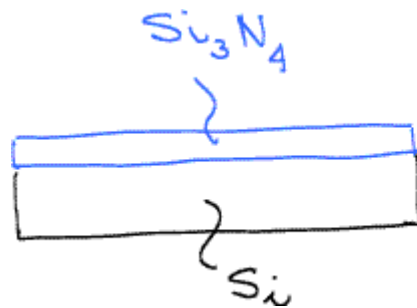
$$\underline{F} = \nabla \underline{f} \Rightarrow \underline{F} = \underline{I} + \underline{H} \quad \underline{E} = \frac{1}{2} (\underline{H} + \underline{H}^T)$$

$$\hat{W}(\underline{x}, \underline{F}) = \hat{W}(\underline{x}, \underline{I}) + \underbrace{D\hat{W}(\underline{x}, \underline{I}) \cdot \underline{H}}_{\underline{\overset{\circ}{I}} \cdot \underline{H}} + \underbrace{\frac{1}{2} D^2 \hat{W}(\underline{x}, \underline{I}) \underline{H} \cdot \underline{H}}_{\frac{1}{2} \underline{H} \underline{\overset{\circ}{I}} \cdot \underline{H} + \frac{1}{2} \underline{\mathbb{L}} \underline{E} \cdot \underline{E}} + \sigma(|\underline{H}|^2)$$

$$\hat{W}(\underline{x}, \underline{F}) = \hat{W}(\underline{x}, \underline{I}) + \underline{\overset{\circ}{I}} \cdot \underline{H} + \frac{1}{2} \underline{H} \underline{\overset{\circ}{I}} \cdot \underline{H} + \frac{1}{2} \underline{\mathbb{L}} \underline{E} \cdot \underline{E}$$



$$\mathcal{J}^r(u) = \int_{\hat{\Omega}^r} \hat{T}^r \cdot E u + \frac{1}{2} \nabla u \hat{T}^r \cdot \nabla u + \frac{1}{2} \mathbb{L}^r E u \cdot E u \, dx + \int_{\check{\Omega}^r} \frac{1}{2} \mathbb{C}^r E u \cdot E u \, dx$$

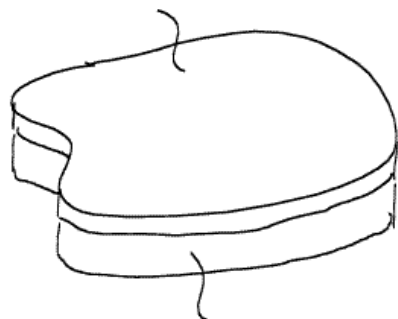


$$\begin{aligned} \hat{h}^r &= 100 \div 300 \, \text{nm} \\ \check{h}^r &= 200 \div 300 \, \mu\text{m} \end{aligned}$$

$$\varepsilon^r := \frac{\check{h}^r}{\text{diam } \omega} \approx 10^{-2} \div 10^{-3}$$

$$\frac{\hat{h}^r}{\check{h}^r} \approx 10^{-3} !$$

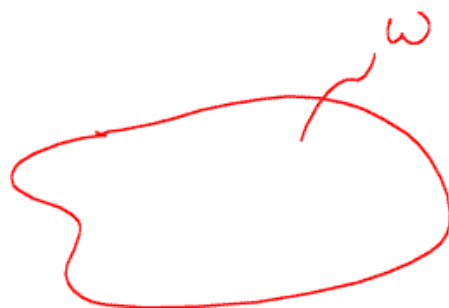
$$\hat{\Omega}^r = \omega \times (0, \hat{h}^r)$$



$$\check{\Omega}^r = \omega \times (-\check{h}^r, 0)$$

$$\min_{u \in \mathcal{A}^r} \mathcal{J}^r(u) \quad (\mathcal{P}^r)$$

$$\mathcal{J}^r(u^r) = \min_{u \in \mathcal{A}^r} \mathcal{J}^r(u)$$



$$\min_{u \in \mathcal{A}^o} \mathcal{J}^o(u) \quad (\mathcal{P}^o)$$

$$\mathcal{J}^o(u^o) = \min_{u \in \mathcal{A}^o} \mathcal{J}^o(u)$$

How to choose $(\mathcal{P}^o)$?

$$(\mathcal{P}^o) \text{ s.t. } u^r \approx u^o$$

Plate theory via variational convergence

$$P_\varepsilon \xrightarrow{\text{v.c.}} P_0$$

u_ε solution of P_ε $\varepsilon \in (0, 1]$

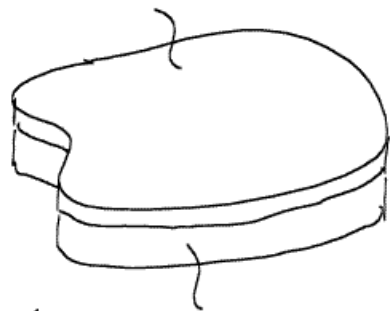
u_0 solution of P_0

if u_ε converges $\Rightarrow u_\varepsilon \rightarrow u_0$

How to use variational convergence?

$$P_\varepsilon \xrightarrow{\text{v.c.}} P_0 \Rightarrow u_\varepsilon \longrightarrow u_0$$

$$\hat{\Omega}^z = \omega \times (0, \hat{h}^z)$$



$$\check{\Omega}^z = \omega \times (-\check{h}^z, 0)$$

$$\varepsilon^z := \frac{\check{h}^z}{\text{diam } \omega} \approx 10^{-2} \div 10^{-3}$$

$$J^z(u^z) = \min_{u \in \mathcal{A}^z} J^z(u) \quad (P^z)$$

Choose P_ε so that:

- 1) P_ε variationally converges
- 2) $u_\varepsilon = \text{solution of } P_\varepsilon$ converges
- 3) $P_{\varepsilon^z} \equiv P^z$

P., Podio-Guidugli
in preparation

$$P_\varepsilon \xrightarrow{\text{v.c.}} P_0$$

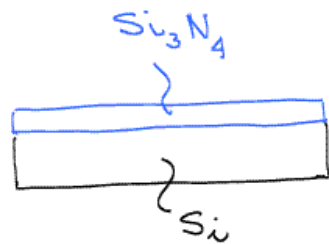
$$u_\varepsilon \longrightarrow u_0$$



$$u_0 \approx u_\varepsilon \quad \text{for } \varepsilon \text{ small}$$

$$u_0 \approx u_{\varepsilon^z} = u^z$$

Definition of P_ε $\left\{ \begin{array}{l} 1) \text{ Def. } \Omega_\varepsilon \\ 2) \text{ Def. } \mathcal{F}_\varepsilon \end{array} \right.$



$$\begin{aligned} \hat{h}^r &= 100 \div 300 \text{ nm} \\ \check{h}^r &= 200 \div 300 \mu\text{m} \end{aligned}$$

$$\varepsilon^r := \frac{\check{h}^r}{\text{diam } \omega} \approx 10^{-2} \div 10^{-3}$$

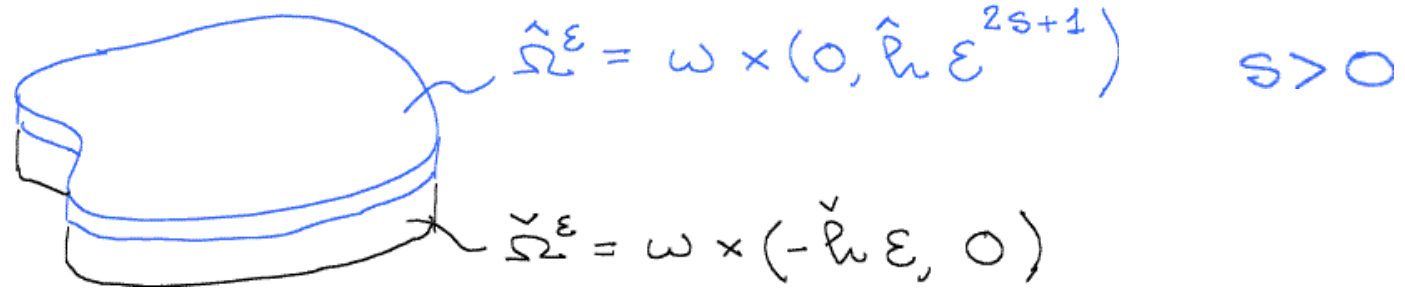
$$\frac{\hat{h}^r}{\check{h}^r} \approx 10^{-3}$$

$$\check{h}^r = \text{diam } \omega \varepsilon^r \Rightarrow \check{h}^\varepsilon := \text{diam } \omega \varepsilon$$

$$\hat{h}^r = \text{diam } \omega (\varepsilon^r)^{2s+1} \Rightarrow \hat{h}^\varepsilon = \text{diam } \omega \varepsilon^{2s+1}$$

$$s \approx 1 \div \frac{3}{2}$$

Ω_ε

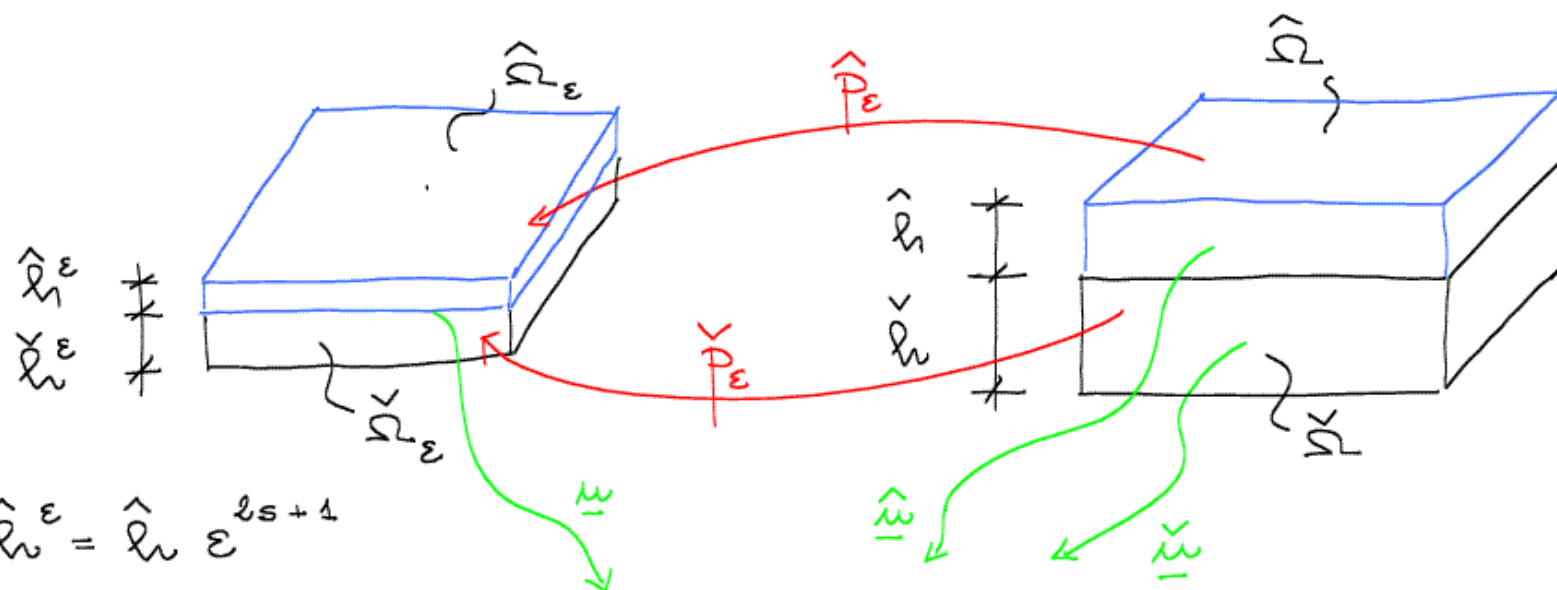


$$\hat{\ell}_\varepsilon = \check{\ell}_\varepsilon = \text{diam } \omega$$

Definition of P_ε $\left\{ \begin{array}{l} 1) \text{ Def. } \Omega_\varepsilon \\ 2) \text{ Def. } \mathcal{F}_\varepsilon \end{array} \right.$ ✓

$$P_\varepsilon \xrightarrow{\text{v.c.}} P_0 \rightarrow \mu_\varepsilon \rightarrow \mu_0$$

Change of variables



$$\hat{h}^\varepsilon = \hat{h} \varepsilon^{2s+1}$$

$$\check{h}^\varepsilon = \check{h} \varepsilon$$

$$\hat{u}^\varepsilon = \underline{u} \circ \hat{p}_\varepsilon$$

$$\check{u}^\varepsilon = \underline{u} \circ \check{p}_\varepsilon$$

$$(\nabla \underline{u}) \circ \hat{p}_\varepsilon = \nabla \hat{u} \hat{p}_\varepsilon^{\varepsilon^{-1}} =: \hat{H}^\varepsilon \hat{u}$$

$$\hat{p}_\varepsilon = \nabla \hat{p}^\varepsilon = \text{diag}(1, 1, \varepsilon^{2s+1})$$

$$(\nabla \underline{u}) \circ \check{p}_\varepsilon = \nabla \check{u} \check{p}_\varepsilon^{\varepsilon^{-1}} =: \check{H}^\varepsilon \check{u}$$

$$\check{p}_\varepsilon = \nabla \check{p}^\varepsilon = \text{diag}(1, 1, \varepsilon)$$

$$\hat{H}^\varepsilon \hat{u} = \hat{E}^\varepsilon \hat{u} := \text{sym} \hat{H}^\varepsilon \hat{u}$$

$$\check{E}^\varepsilon \check{u} := \text{sym} \check{H}^\varepsilon \check{u} \frac{D_3 \underline{u}}{\varepsilon}$$

$$\mathcal{J}^{\varepsilon^2}(u) = \int_{\hat{\Omega}^{\varepsilon^2}} \hat{T}^{\varepsilon^2} \cdot E u + \frac{1}{2} \nabla u \hat{T}^{\varepsilon^2} \cdot \nabla u + \frac{1}{2} \mathbb{L}^{\varepsilon^2} E u \cdot E u \, dx + \int_{\check{\Omega}^{\varepsilon^2}} \frac{1}{2} \mathbb{C}^{\varepsilon^2} E u \cdot E u \, dx$$

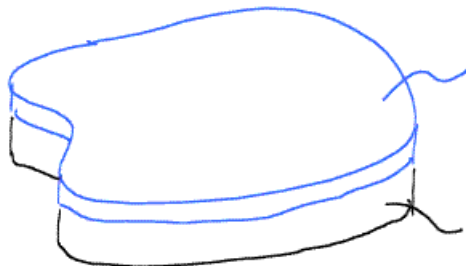
$$\hat{\Omega}^{\varepsilon^2} = \hat{\Omega}^{\varepsilon^2}$$

$$\check{\Omega}^{\varepsilon^2} = \check{\Omega}^{\varepsilon^2}$$

$$= \varepsilon^{2s+1} \int_{\hat{\Omega}} \hat{T}^{\varepsilon^2} \hat{\rho}^{\varepsilon^2} \cdot \hat{E}^{\varepsilon^2} \hat{u} + \frac{1}{2} \hat{H}^{\varepsilon^2} \hat{u} \hat{T}^{\varepsilon^2} \hat{\rho}^{\varepsilon^2} \cdot \hat{H}^{\varepsilon^2} \hat{u} + \frac{1}{2} \mathbb{L}^{\varepsilon^2} \hat{\rho}^{\varepsilon^2} \hat{E}^{\varepsilon^2} \hat{u} \cdot \hat{E}^{\varepsilon^2} \hat{u} \, dx +$$

$$+ \varepsilon^2 \int_{\check{\Omega}} \frac{1}{2} \mathbb{C}^{\varepsilon^2} \check{\rho}^{\varepsilon^2} \check{E}^{\varepsilon^2} \check{u} \cdot \check{E}^{\varepsilon^2} \check{u} \, dx$$

$$\begin{aligned} \mathcal{I}^{\varepsilon}(\omega) = & \varepsilon^{2s+1} \int_{\hat{\Omega}} \hat{T}^{\varepsilon} \circ \hat{p}^{\varepsilon} \cdot \hat{E}^{\varepsilon} \hat{\omega} + \frac{1}{2} \hat{H}^{\varepsilon} \hat{\omega} \hat{T}^{\varepsilon} \circ \hat{p}^{\varepsilon} \cdot \hat{H}^{\varepsilon} \hat{\omega} + \frac{1}{2} \hat{L}^{\varepsilon} \circ \hat{p}^{\varepsilon} \hat{E}^{\varepsilon} \hat{\omega} \cdot \hat{E}^{\varepsilon} \hat{\omega} dx + \\ & + \varepsilon^2 \int_{\check{\Omega}} \frac{1}{2} \check{C}^{\varepsilon} \circ \check{p}^{\varepsilon} \check{E}^{\varepsilon} \check{\omega} \cdot \check{E}^{\varepsilon} \check{\omega} dx \end{aligned}$$

$\mathcal{I}^{\varepsilon}(\hat{\omega}, \check{\omega}) :=$

 $\hat{\Omega}^{\varepsilon} = \omega \times (0, \hat{h}_{\varepsilon} \varepsilon^{2s+1})$
 $\check{\Omega}^{\varepsilon} = \omega \times (-\check{h}_{\varepsilon} \varepsilon, 0)$
 $\begin{pmatrix} \varepsilon^{2s+1} \\ \vdots \\ 1 \end{pmatrix} \cdot \hat{H}^{\varepsilon} \hat{\omega}$

Definition of P_{ε}
 $\begin{cases} 1) \text{ Def. } \Omega_{\varepsilon} \\ 2) \text{ Def. } \mathcal{I}_{\varepsilon} \end{cases}$
✓ dx

$$P_{\varepsilon^2} = P^2$$

$\times$

$\mathbb{C}$

$$\begin{aligned} \mathcal{J}^{\varepsilon^2}(u) = & \varepsilon^{2s+1} \int_{\hat{\Omega}} \hat{T}^{\varepsilon^2} \hat{P}^{\varepsilon^2} \cdot \hat{E}^{\varepsilon^2} \hat{u} + \frac{1}{2} \hat{H}^{\varepsilon^2} \hat{u} \hat{T}^{\varepsilon^2} \hat{P}^{\varepsilon^2} \cdot \hat{H}^{\varepsilon^2} \hat{u} + \frac{1}{2} \mathbb{L}^{\varepsilon^2} \hat{P}^{\varepsilon^2} \hat{E}^{\varepsilon^2} \hat{u} \cdot \hat{E}^{\varepsilon^2} \hat{u} \, dx + \\ & + \varepsilon^2 \int_{\check{\Omega}} \frac{1}{2} \mathbb{C}^{\varepsilon^2} \check{P}^{\varepsilon^2} \check{E}^{\varepsilon^2} \check{u} \cdot \check{E}^{\varepsilon^2} \check{u} \, dx \end{aligned}$$

$$\begin{aligned} \mathcal{J}^{\varepsilon}(\hat{u}, \check{u}) = & \varepsilon^{2s} \int_{\hat{\Omega}} \varepsilon^t \hat{T} \cdot \hat{E}^{\varepsilon} \hat{u} + \varepsilon^{q+t} \kappa \hat{H}^{\varepsilon} \hat{u} \hat{T} \cdot \hat{H}^{\varepsilon} \hat{u} + \frac{1}{2} \frac{1}{\varepsilon^{2\ell}} \mathbb{L} \hat{E}^{\varepsilon} \hat{u} \cdot \hat{E}^{\varepsilon} \hat{u} \, dx \\ & + \int_{\check{\Omega}} \frac{1}{2} \mathbb{C} \check{E}^{\varepsilon} \check{u} \cdot \check{E}^{\varepsilon} \check{u} \, dx \end{aligned}$$

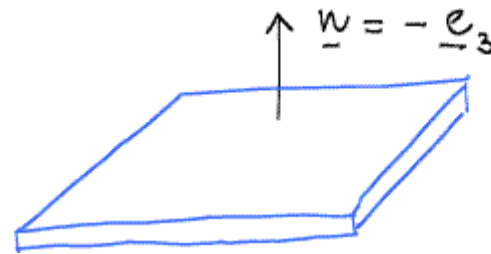
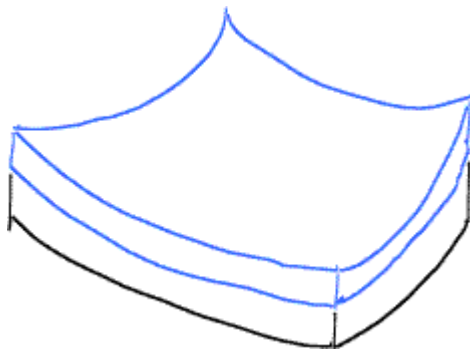
$$\mathcal{J}^{\varepsilon^2}(u) = \varepsilon^2 \mathcal{J}^{\varepsilon^2}(\hat{u}, \check{u})$$

$$(P^{\varepsilon^2}) = (P^{\varepsilon^2})$$

Definition of P_{ε} $\left\{ \begin{array}{l} 1) \text{ Def. } \Omega_{\varepsilon} \quad \checkmark \\ 2) \text{ Def. } \mathcal{J}^{\varepsilon} \quad \checkmark \end{array} \right.$

$$J^\varepsilon(\hat{u}, \check{u}) = \varepsilon^{2s} \int_{\hat{\Omega}} \varepsilon^t \overset{\circ}{T} \cdot \hat{E}^\varepsilon \hat{u} + \varepsilon^{q+t} k \hat{H}^\varepsilon \hat{u} \overset{\circ}{T} \cdot \hat{H}^\varepsilon \hat{u} + \frac{1}{2} \frac{1}{\varepsilon^{2\eta}} \mathbb{L} \hat{E}^\varepsilon \hat{u} \cdot \hat{E}^\varepsilon \hat{u} \, dx \\ + \int_{\check{\Omega}} \frac{1}{2} \mathbb{C} \check{E}^\varepsilon \check{u} \cdot \check{E}^\varepsilon \check{u} \, dx$$

Assumptions on $\overset{\circ}{T}$



$$\overset{\circ}{T}_{i3} = 0$$

$$\overset{\circ}{T}_{\alpha\beta}(x) a_\alpha a_\beta \geq \gamma_{\min} a_\alpha a_\alpha \quad \forall a_1, a_2 \in \mathbb{R}$$

with $\gamma_{\min} > 0$

Assumptions on the elasticity tensors

$$\exists \hat{C}_L > 0 \quad \text{s.t.} \quad \mathbb{L} E \cdot E \geq \hat{C}_L |E|^2 \quad \forall E \in \mathbb{R}_{\text{sym}}^{3 \times 3}$$

$$\exists \check{C} > 0 \quad \text{s.t.} \quad \mathbb{C} E \cdot E \geq \check{C} |E|^2 \quad \forall E \in \mathbb{R}_{\text{sym}}^{3 \times 3}$$

P_ε so that:

1) P_ε variationally converges

2) $w_\varepsilon = \text{solution of } P_\varepsilon \text{ converges}$ ✓

3) $P_{\varepsilon^2} \equiv P^2$ ✓

if $t + \eta + s \geq 0 \rightarrow 2)$

$$\mathcal{A} := \{(\hat{w}, \check{w}) \in H_D^1(\hat{\Omega}) \times H_D^1(\check{\Omega}) : \hat{w}(\cdot, \cdot, 0) = \check{w}(\cdot, \cdot, 0)\}$$

if $t + \eta + s \geq 0$

$$(\hat{w}^\varepsilon, \check{w}^\varepsilon) \in \mathcal{A} \text{ s.t. } \sup_\varepsilon \mathcal{J}^\varepsilon(\hat{w}^\varepsilon, \check{w}^\varepsilon) < +\infty$$

$$\rightarrow \sup_\varepsilon \int_{\hat{\Omega}} \left| \frac{\hat{E}^\varepsilon \hat{w}^\varepsilon}{\varepsilon^{2-s}} \right|^2 dx + \int_{\check{\Omega}} |\check{E}^\varepsilon \check{w}^\varepsilon|^2 dx < +\infty$$

Importance of the linear term



$$\mathcal{J}^\varepsilon(\hat{u}, \hat{u}) = \varepsilon^{2s} \int_{\hat{\Omega}} \varepsilon^t \hat{T}^\circ \cdot \hat{E}^\varepsilon \hat{u} + \varepsilon^{q+t} \underbrace{\kappa \hat{H}^\varepsilon \hat{u} \hat{T}^\circ \cdot \hat{H}^\varepsilon \hat{u}}_{\geq 0} + \underbrace{\frac{1}{2} \frac{1}{\varepsilon^2 \eta} \int_{\hat{\Omega}} \hat{E}^\varepsilon \hat{u} \cdot \hat{E}^\varepsilon \hat{u} \, dx}_{\geq 0} \\ + \int_{\hat{\Omega}} \frac{1}{2} \underbrace{\mathbb{C} \hat{E}^\varepsilon \hat{u} \cdot \hat{E}^\varepsilon \hat{u} \, dx}_{\geq 0}$$

$$H \hat{T}^\circ \cdot H \geq \sum_i \sum_\alpha \hat{\gamma}_{\min} |H_{i\alpha}|^2 \geq 0$$

$$\mathcal{I}^\varepsilon(\hat{u}^\varepsilon, \check{u}^\varepsilon) = \varepsilon^{2s} \int_{\hat{\Omega}} \varepsilon^t \overset{\circ}{T} \cdot \hat{E}^\varepsilon \hat{u}^\varepsilon + \dots$$

$$= \varepsilon^{\overset{\text{red}}{t+\eta+s}} \int_{\hat{\Omega}} \overset{\circ}{T} \cdot \frac{\hat{E}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{\eta-s}} + \dots$$

$$\text{if } \overset{\text{red}}{t+\eta+s} \geq 0$$

$$(\hat{u}^\varepsilon, \check{u}^\varepsilon) \in \mathcal{A} \quad \text{s.t.} \quad \sup_\varepsilon \mathcal{I}^\varepsilon(\hat{u}^\varepsilon, \check{u}^\varepsilon) < +\infty$$

$$\rightarrow \sup_\varepsilon \int_{\hat{\Omega}} \left| \frac{\hat{E}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{\eta-s}} \right|^2 dx + \int_{\check{\Omega}} |\check{E}^\varepsilon \check{u}^\varepsilon|^2 dx < +\infty$$

$$\overset{\text{red}}{t+\eta+s} = 0$$

$$\mathcal{J}^\varepsilon(\hat{u}, \check{u}) = \int_{\hat{\Omega}} \frac{0}{1} \cdot \frac{\hat{E}^\varepsilon \hat{u}}{\varepsilon^{\eta-s}} + k \frac{\hat{H}^\varepsilon \hat{u}}{\varepsilon^{(\eta-s-q)/2}} \frac{0}{1} \cdot \frac{\hat{H}^\varepsilon \hat{u}}{\varepsilon^{(\eta-s-q)/2}} + \frac{1}{2} \mathbb{L} \frac{\hat{E}^\varepsilon \hat{u}}{\varepsilon^{\eta-s}} \cdot \frac{\hat{E}^\varepsilon \hat{u}}{\varepsilon^{\eta-s}} dx \\ + \int_{\check{\Omega}} \frac{1}{2} \mathbb{C} \check{E}^\varepsilon \check{u} \cdot \check{E}^\varepsilon \check{u} dx$$

Rigidity $\sup_\varepsilon \mathcal{J}^\varepsilon(\hat{u}^\varepsilon, \check{u}^\varepsilon) < +\infty$

• "Material" $\sup_\varepsilon \int_{\hat{\Omega}} \left| \frac{\hat{E}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{\eta-s}} \right|^2 dx + \int_{\check{\Omega}} |\check{E}^\varepsilon \check{u}^\varepsilon|^2 dx < +\infty$

• "Pretraction" $\sup_\varepsilon \int_{\hat{\Omega}} \left| \frac{(\hat{H}^\varepsilon \hat{u}^\varepsilon)_{ix}}{\varepsilon^{(\eta-s-q)/2}} \right|^2 dx < +\infty \rightarrow$

"Material Rigidity"

$$\hat{\mathbb{E}}^\varepsilon \hat{\mathbb{u}}^\varepsilon \xrightarrow{L^2} \hat{\mathbb{E}}$$

$$\check{\mathbb{E}}^\varepsilon \check{\mathbb{u}}^\varepsilon \xrightarrow{L^2} \check{\mathbb{E}}$$

$$\mathcal{J}^\varepsilon(\hat{\mathbb{u}}, \check{\mathbb{u}}) = \varepsilon^{2s} \int_{\hat{\Omega}} \varepsilon^t \hat{\mathbb{T}} \cdot \hat{\mathbb{E}}^\varepsilon \hat{\mathbb{u}} + \varepsilon^{q+t} \kappa \hat{\mathbb{H}}^\varepsilon \hat{\mathbb{u}} \hat{\mathbb{T}} \cdot \hat{\mathbb{H}}^\varepsilon \hat{\mathbb{u}} + \frac{1}{2} \frac{1}{\varepsilon^{2\eta}} \int \hat{\mathbb{E}}^\varepsilon \hat{\mathbb{u}} \cdot \hat{\mathbb{E}}^\varepsilon \hat{\mathbb{u}} \, dx$$

$$+ \int_{\check{\Omega}} \frac{1}{2} \mathbb{C} \check{\mathbb{E}}^\varepsilon \check{\mathbb{u}} \cdot \check{\mathbb{E}}^\varepsilon \check{\mathbb{u}} \, dx$$

$$\mathbb{E}_{\alpha\beta} = (\mathbb{E} \hat{\mathbb{u}})_{\alpha\beta} \quad \check{\mathbb{D}}^\varepsilon \check{\mathbb{u}}^\varepsilon = \left(\check{\mathbb{u}}_1^\varepsilon, \check{\mathbb{u}}_2^\varepsilon, \varepsilon \check{\mathbb{u}}_3^\varepsilon \right) \xrightarrow{H^1} \check{\mathbb{u}} \\ \hat{\mathbb{u}}^\varepsilon(\cdot, \cdot, 0) = \check{\mathbb{u}}^\varepsilon(\cdot, \cdot, 0)$$

$$\check{\mathbb{u}}_\alpha(\cdot, \cdot, 0) = 0 \quad \text{if } \eta > s$$

$$\check{\mathbb{u}}_3(\cdot, \cdot, 0) = 0 \quad \text{if } \eta > 3s$$

$$\check{\mathbb{u}}_\alpha(\cdot, \cdot, 0) = \hat{\mathbb{u}}_\alpha(\cdot, \cdot, 0) \quad \text{if } \eta = s$$

$$\check{\mathbb{u}}_3(\cdot, \cdot, 0) = \hat{\mathbb{u}}_3(\cdot, \cdot, 0) \quad \text{if } \eta = 3s$$

$$\hat{\mathbb{u}}_\alpha(\cdot, \cdot, 0) = 0 \quad \text{if } \eta < s$$

$$\hat{\mathbb{u}}_3(\cdot, \cdot, 0) = 0 \quad \text{if } \eta < 3s$$

$$\text{if } \eta > 3s \quad \check{\mathbb{u}} = 0$$

$$\eta \leq 3s$$

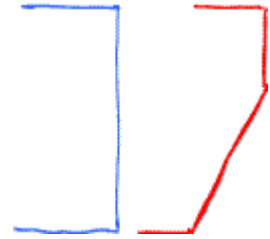
$$\text{if } \eta < s \quad \hat{\mathbb{u}} = 0$$

$$\eta \geq s$$

- $\eta = 5$

$$\begin{cases} \hat{u}_\alpha = \zeta_\alpha - \frac{\check{p}_\alpha}{2} \zeta_{3,\alpha} \\ \hat{u}_3 = 0 \end{cases}$$

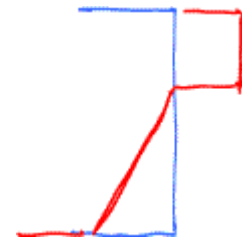
$$\begin{cases} \check{u}_\alpha = \zeta_\alpha - (x_3 + \frac{\check{p}_\alpha}{2}) \zeta_{3,\alpha} \\ \check{u}_3 = \zeta_3 \end{cases}$$



- $5 < \eta < 35$

$$\begin{cases} \hat{u}_\alpha = \zeta_\alpha \\ \hat{u}_3 = 0 \end{cases}$$

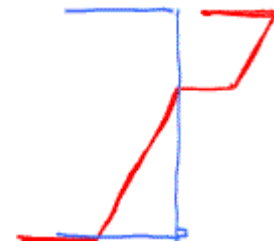
$$\begin{cases} \check{u}_\alpha = -x_3 \zeta_{3,\alpha} \\ \check{u}_3 = \zeta_3 \end{cases}$$



- $\eta = 35$

$$\begin{cases} \hat{u}_\alpha = \zeta_\alpha - (x_3 - \frac{\hat{p}_\alpha}{2}) \zeta_{3,\alpha} \\ \hat{u}_3 = \zeta_3 \end{cases}$$

$$\begin{cases} \check{u}_\alpha = -x_3 \zeta_{3,\alpha} \\ \check{u}_3 = \zeta_3 \end{cases}$$



either $\eta = 5$ or $\eta = 35$

"Pretraction Rigidity"

$$\frac{(\hat{H}^\varepsilon \hat{w}^\varepsilon)_{i\alpha}}{\varepsilon^{(\eta-s-q)/2}} \xrightarrow{L^2} \hat{H}_{i\alpha}$$

$$\frac{\hat{w}_\alpha^\varepsilon}{\varepsilon^{(\eta-s-q)/2}} \xrightarrow{L^2(0,1; H^1(\omega))} \hat{w}_\alpha$$

$$\frac{\hat{w}_3^\varepsilon}{\varepsilon^{(\eta-s-q)/2}} \xrightarrow{H^1} \hat{w}_3$$

- $\eta = s \quad q \geq 2$

- $\eta = 3s \quad q \geq 2s+2$

$$\hat{H}_{\beta\alpha} = 0$$

$$\hat{H}_{3\alpha} = \begin{cases} \hat{w}_{3,\alpha} & \text{if } q=2 \\ 0 & \text{if } q>2 \end{cases}$$

$$\hat{H}_{3\alpha} = \begin{cases} \hat{w}_{3,\alpha} & \text{if } q=2s+2 \\ 0 & \text{if } q>2s+2 \end{cases}$$

Variational convergence (Γ -convergence)

$\mathcal{J}^\varepsilon$ Γ -converges to $\mathcal{J}$ if:

1) $\forall (\hat{u}, \hat{v}) \in \mathcal{A}_{\text{lim}}, \forall (\hat{u}^\varepsilon, \hat{v}^\varepsilon) \in \mathcal{A}$ s.t.

$$\frac{\hat{P}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{\eta-s}} \xrightarrow{H^1} \hat{u} \quad \hat{P}^\varepsilon \hat{v}^\varepsilon \xrightarrow{H^1} \hat{v}$$

we have

$$\liminf_{\varepsilon \rightarrow 0} \mathcal{J}^\varepsilon(\hat{u}^\varepsilon, \hat{v}^\varepsilon) \geq \mathcal{J}(\hat{u}, \hat{v})$$

2) $\forall (\hat{u}, \hat{v}) \in \mathcal{A}_{\text{lim}}, \exists (\hat{u}^\varepsilon, \hat{v}^\varepsilon) \in \mathcal{A}$ s.t.

$$\frac{\hat{P}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{\eta-s}} \xrightarrow{H^1} \hat{u} \quad \hat{P}^\varepsilon \hat{v}^\varepsilon \xrightarrow{H^1} \hat{v}$$

and

$$\limsup_{\varepsilon \rightarrow 0} \mathcal{J}^\varepsilon(\hat{u}^\varepsilon, \hat{v}^\varepsilon) \leq \mathcal{J}(\hat{u}, \hat{v})$$

$$\eta = 3s$$

$$\mathcal{F}(\hat{u}, \tilde{u}) = \int_{\omega} \hat{u} \hat{T} \cdot \bar{E} \zeta + \frac{1}{2} \hat{u} \bar{L} \bar{E} \zeta \cdot \bar{E} \zeta \, dx +$$

$$+ \int_{\omega} \tilde{\chi}_q \kappa \hat{u} \hat{T} \bar{\nabla} \zeta_3 \cdot \bar{\nabla} \zeta_3 + \left(\frac{\hat{u}^3}{12} \bar{L} + \frac{\tilde{u}^3}{6} \bar{L} \right) \bar{\nabla}^2 \zeta_3 \cdot \bar{\nabla}^2 \zeta_3 \, dx$$

$$\tilde{\chi}_q = \begin{cases} 1 & \text{if } q = 2s+2 \\ 0 & \text{if } q > 2s+2 \end{cases}$$

$$\zeta_3 = 0$$

NO!

$$\eta = 8$$

$$\begin{aligned} \mathcal{F}(\hat{u}, \check{u}) = & \int_{\omega} \hat{u} \hat{T} \cdot \bar{E} \check{z} + \frac{1}{2} (\hat{u} \bar{\mathbb{I}} + \check{u} \bar{\mathbb{C}}) \bar{E} \check{z} \cdot \bar{E} \check{z} \, dx + \\ & + \int_{\omega} - \frac{\hat{u} \check{u}}{2} \bar{\mathbb{I}} \bar{E} \check{z} \cdot \bar{\nabla}^2 \check{z}_3 \, dx + \\ & + \int_{\omega} - \frac{\hat{u} \check{u}}{2} \hat{T} \cdot \bar{\nabla}^2 \check{z}_3 + \kappa_q \hat{u} \hat{T} \bar{\nabla} \check{z}_3 \cdot \bar{\nabla} \check{z}_3 + \left(\frac{\hat{u} \check{u}^2}{8} \bar{\mathbb{I}} + \frac{\check{u}^3}{12} \bar{\mathbb{C}} \right) \bar{\nabla}^2 \check{z}_3 \cdot \bar{\nabla}^2 \check{z}_3 \, dx \end{aligned}$$

$$\kappa_q = \begin{cases} 1 & \text{if } q=2 \\ 0 & \text{if } q>2 \end{cases}$$

Monoclinic materials

$$\begin{cases} \mathbb{L}_{\alpha\beta\gamma 3} = \mathbb{L}_{\alpha 333} = 0 \\ \mathbb{C}_{\alpha\beta\gamma 3} = \mathbb{C}_{\alpha 333} = 0 \end{cases}$$

$$\bar{\mathbf{A}} \in \mathbb{R}_{\text{sym}}^{2 \times 2}$$

$$\bar{\mathbb{L}} \bar{\mathbf{A}} \cdot \bar{\mathbf{A}} = \min_{\mathbf{b}} \mathbb{L} \left(\begin{array}{c|c} \bar{\mathbf{A}} & \begin{smallmatrix} b_1 \\ b_2 \end{smallmatrix} \\ \hline \begin{smallmatrix} b_1 & b_2 \end{smallmatrix} & b_3 \end{array} \right) \cdot \left(\begin{array}{c|c} \bar{\mathbf{A}} & \begin{smallmatrix} b_1 \\ b_2 \end{smallmatrix} \\ \hline \begin{smallmatrix} b_1 & b_2 \end{smallmatrix} & b_3 \end{array} \right)$$

$$\bar{\mathbb{C}} \bar{\mathbf{A}} \cdot \bar{\mathbf{A}} = \min_{\mathbf{b}} \mathbb{C} \left(\begin{array}{c|c} \bar{\mathbf{A}} & \begin{smallmatrix} b_1 \\ b_2 \end{smallmatrix} \\ \hline \begin{smallmatrix} b_1 & b_2 \end{smallmatrix} & b_3 \end{array} \right) \cdot \left(\begin{array}{c|c} \bar{\mathbf{A}} & \begin{smallmatrix} b_1 \\ b_2 \end{smallmatrix} \\ \hline \begin{smallmatrix} b_1 & b_2 \end{smallmatrix} & b_3 \end{array} \right)$$

$$\eta = 8$$

$$\begin{aligned} \mathcal{F}(\hat{u}, \check{u}) = & \int_{\omega} \hat{u} \hat{T} \cdot \bar{E} \check{z} + \frac{1}{2} (\hat{u} \bar{\mathbb{I}} + \check{u} \bar{\mathbb{C}}) \bar{E} \check{z} \cdot \bar{E} \check{z} \, dx + \\ & + \int_{\omega} - \frac{\hat{u} \check{u}}{2} \bar{\mathbb{I}} \bar{E} \check{z} \cdot \bar{\nabla}^2 \check{z}_3 \, dx + \\ & + \int_{\omega} - \frac{\hat{u} \check{u}}{2} \hat{T} \cdot \bar{\nabla}^2 \check{z}_3 + \kappa_q \hat{u} \hat{T} \bar{\nabla} \check{z}_3 \cdot \bar{\nabla} \check{z}_3 + \left(\frac{\hat{u} \check{u}^2}{8} \bar{\mathbb{I}} + \frac{\check{u}^3}{12} \bar{\mathbb{C}} \right) \bar{\nabla}^2 \check{z}_3 \cdot \bar{\nabla}^2 \check{z}_3 \, dx \end{aligned}$$

$$\kappa_q = \begin{cases} 1 & \text{if } q=2 \\ 0 & \text{if } q>2 \end{cases}$$

Monoclinic materials $\begin{cases} \mathbb{L}_{\alpha\beta\gamma 3} = \mathbb{L}_{\alpha 333} = 0 \\ \mathbb{C}_{\alpha\beta\gamma 3} = \mathbb{C}_{\alpha 333} = 0 \end{cases}$

Liminf inequality

$$\begin{aligned} \mathcal{J}^\varepsilon(\hat{u}^\varepsilon, \check{u}^\varepsilon) &= \int_{\hat{\Omega}} \frac{\hat{\mathbb{E}}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{\eta-s}} + k \frac{\hat{H}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{(\eta-s-q)/2}} + \frac{1}{2} \frac{\hat{H}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{(\eta-s-q)/2}} + \frac{1}{2} \mathbb{L} \frac{\hat{\mathbb{E}}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{\eta-s}} \cdot \frac{\hat{\mathbb{E}}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{\eta-s}} dx \\ &\quad + \int_{\check{\Omega}} \frac{1}{2} \mathbb{C} \check{\mathbb{E}}^\varepsilon \check{u}^\varepsilon \cdot \check{\mathbb{E}}^\varepsilon \check{u}^\varepsilon dx \end{aligned}$$

$$\frac{\hat{\mathbb{E}}^\varepsilon \hat{u}^\varepsilon}{\varepsilon^{\eta-s}} \xrightarrow{L^2} \hat{\mathbb{E}} \quad \check{\mathbb{E}}^\varepsilon \check{u}^\varepsilon \xrightarrow{L^2} \check{\mathbb{E}} \quad \frac{(\hat{H}^\varepsilon \hat{u}^\varepsilon)_{i\alpha}}{\varepsilon^{(\eta-s-q)/2}} \xrightarrow{L^2} \hat{H}_{i\alpha}$$

$$\liminf_{\varepsilon \rightarrow 0} \mathcal{J}^\varepsilon(\hat{u}^\varepsilon, \check{u}^\varepsilon) \geq \int_{\hat{\Omega}} \hat{\mathbb{T}} \cdot \hat{\mathbb{E}} + k \hat{H} \hat{\mathbb{T}} \cdot \hat{H} + \frac{1}{2} \mathbb{L} \hat{\mathbb{E}} \cdot \hat{\mathbb{E}} dx + \int_{\check{\Omega}} \frac{1}{2} \mathbb{C} \check{\mathbb{E}} \cdot \check{\mathbb{E}} dx$$

• $\eta = s$ $q \geq 2$

$$\hat{\mathbb{E}}_{\alpha\beta} = (\mathbb{E} \hat{u})_{\alpha\beta}$$

... 0

$$\check{\mathbb{E}}_{\alpha\beta} = (\mathbb{E} \check{u})_{\alpha\beta} \text{ if } q \geq 2$$

$$\liminf_{\varepsilon \rightarrow 0} \mathcal{J}^\varepsilon(\hat{u}^\varepsilon, \hat{v}^\varepsilon) \geq \int_{\hat{\Omega}} \hat{T} \cdot \hat{E} + \kappa \hat{H} \hat{T} \cdot \hat{H} + \frac{1}{2} \mathbb{L} \hat{E} \cdot \hat{E} \, dx + \int_{\check{\Omega}} \frac{1}{2} \mathbb{C} \check{E} \cdot \check{E} \, dx$$

$$\hat{E}_{\alpha\beta} = (\varepsilon \hat{u})_{\alpha\beta}$$

$$\check{E}_{\alpha\beta} = (\varepsilon \hat{v})_{\alpha\beta}$$

$$\bar{A} \in \mathbb{R}_{\text{sym}}^{2 \times 2}$$

$$\mathbb{L} \bar{A} \cdot \bar{A} = \min_b \mathbb{L} \left(\begin{array}{c|c} \bar{A} & \begin{smallmatrix} b_1 \\ b_2 \end{smallmatrix} \\ \hline \begin{smallmatrix} b_1 & b_2 \end{smallmatrix} & b_3 \end{array} \right) \cdot \left(\begin{array}{c|c} \bar{A} & \begin{smallmatrix} b_1 \\ b_2 \end{smallmatrix} \\ \hline \begin{smallmatrix} b_1 & b_2 \end{smallmatrix} & b_3 \end{array} \right)$$

$$\mathbb{C} \bar{A} \cdot \bar{A} = \min_b \mathbb{C} \left(\begin{array}{c|c} \bar{A} & \begin{smallmatrix} b_1 \\ b_2 \end{smallmatrix} \\ \hline \begin{smallmatrix} b_1 & b_2 \end{smallmatrix} & b_3 \end{array} \right) \cdot \left(\begin{array}{c|c} \bar{A} & \begin{smallmatrix} b_1 \\ b_2 \end{smallmatrix} \\ \hline \begin{smallmatrix} b_1 & b_2 \end{smallmatrix} & b_3 \end{array} \right)$$

$$\liminf_{\varepsilon \rightarrow 0} \mathcal{J}^\varepsilon(\hat{u}^\varepsilon, \hat{v}^\varepsilon) \geq \int_{\hat{\Omega}} \hat{T} \cdot \hat{E} + \kappa \hat{H} \hat{T} \cdot \hat{H} + \frac{1}{2} \mathbb{L} \hat{E} \cdot \hat{E} \, dx + \int_{\check{\Omega}} \frac{1}{2} \mathbb{C} \check{E} \cdot \check{E} \, dx$$

$$\geq \int_{\hat{\Omega}} \hat{T} \cdot \hat{E} + \kappa \hat{H} \hat{T} \cdot \hat{H} + \frac{1}{2} \bar{\mathbb{L}} \bar{\hat{E}} \cdot \bar{\hat{E}} \, dx + \int_{\check{\Omega}} \frac{1}{2} \bar{\mathbb{C}} \bar{\check{E}} \cdot \bar{\check{E}} \, dx$$

Thank you