



Laurea Magistrale in *Ingegneria delle Telecomunicazioni*

## The Viterbi (decoding) Algorithm

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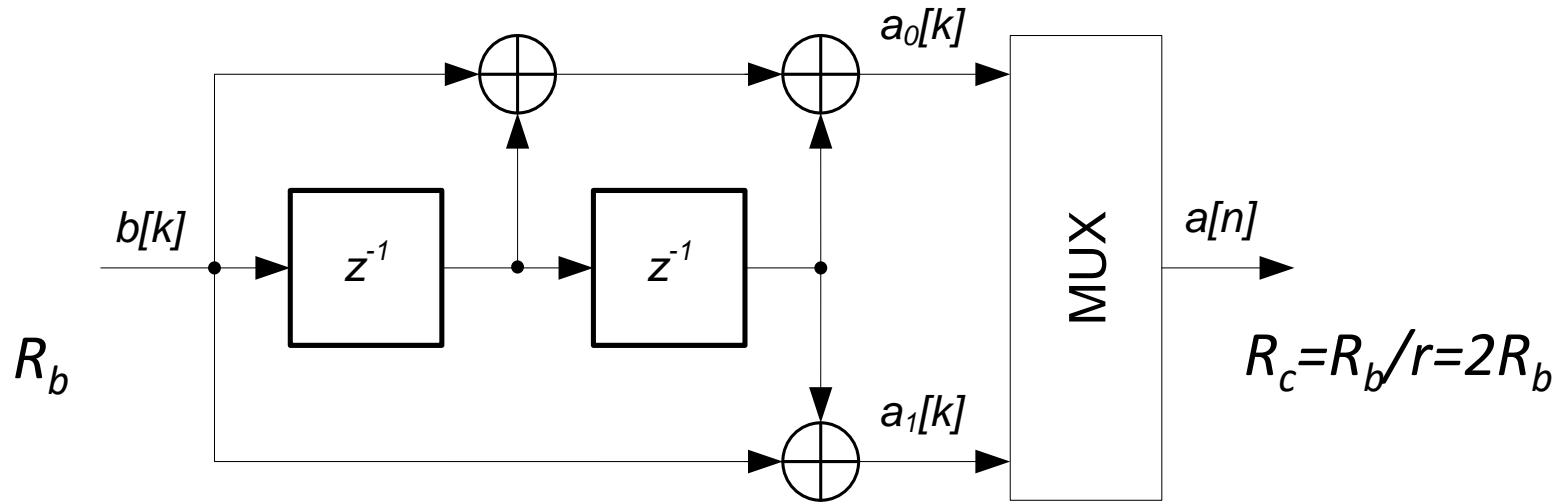
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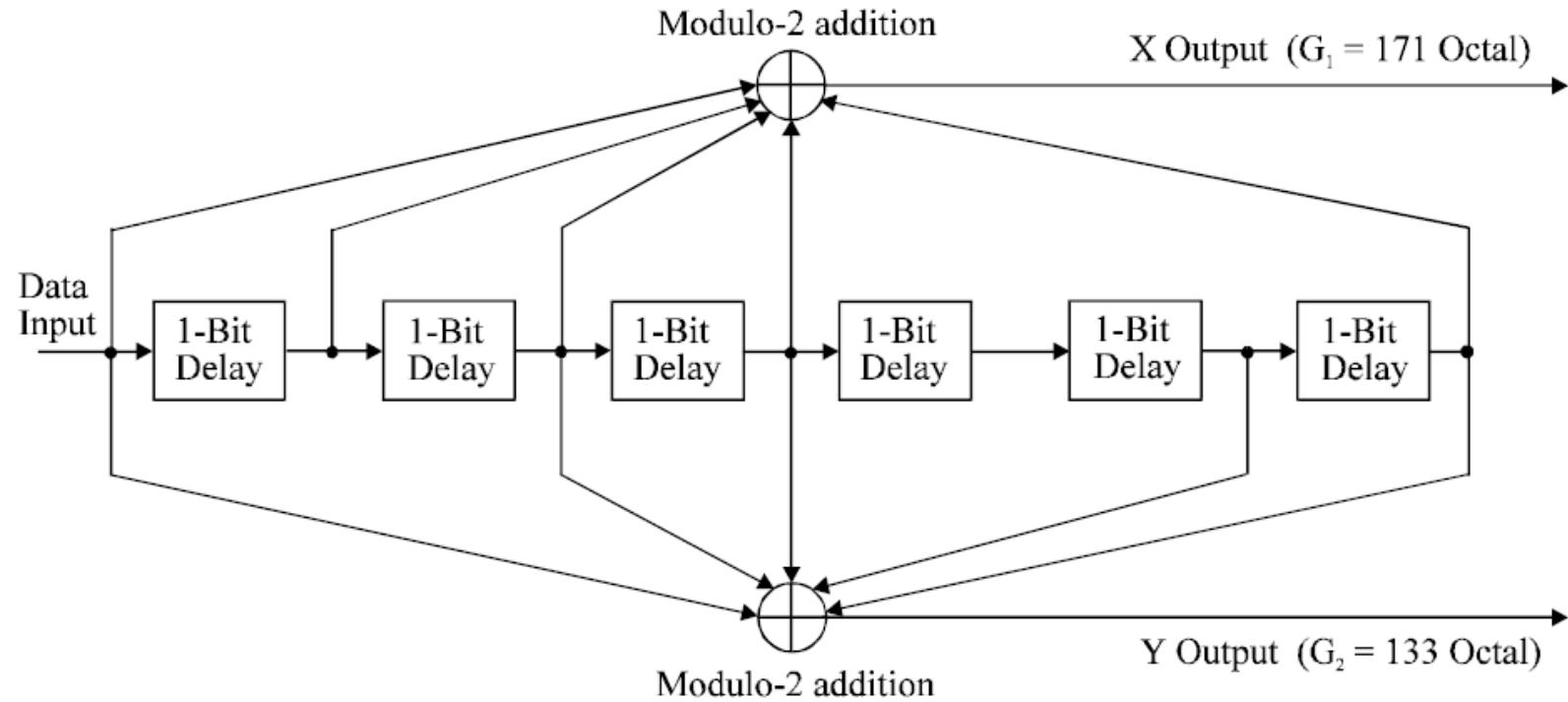


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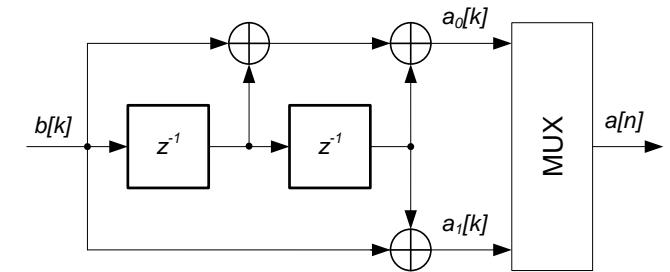
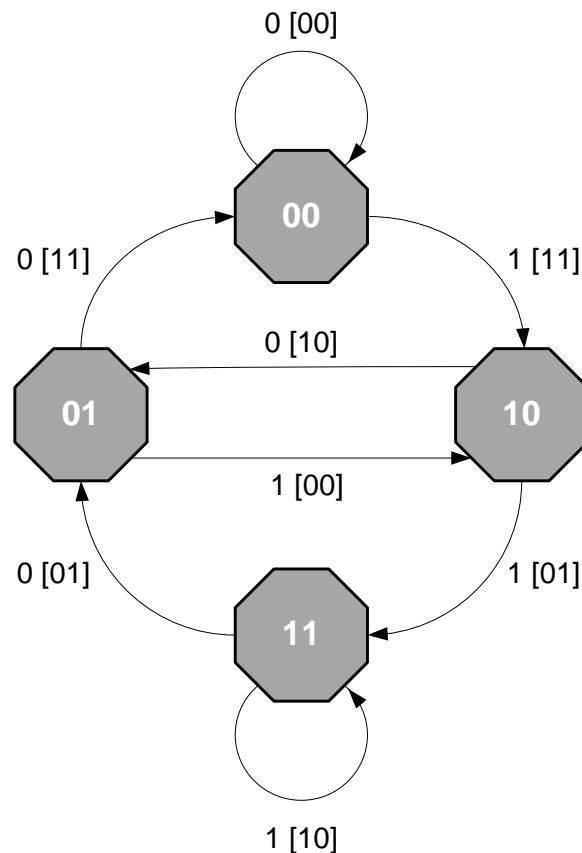
# The $r=1/2$ , $K=3$ Convolutional Encoder



# The $r=1/2, K=7$ Convolutional Encoder of DVB-T



# The State Diagram

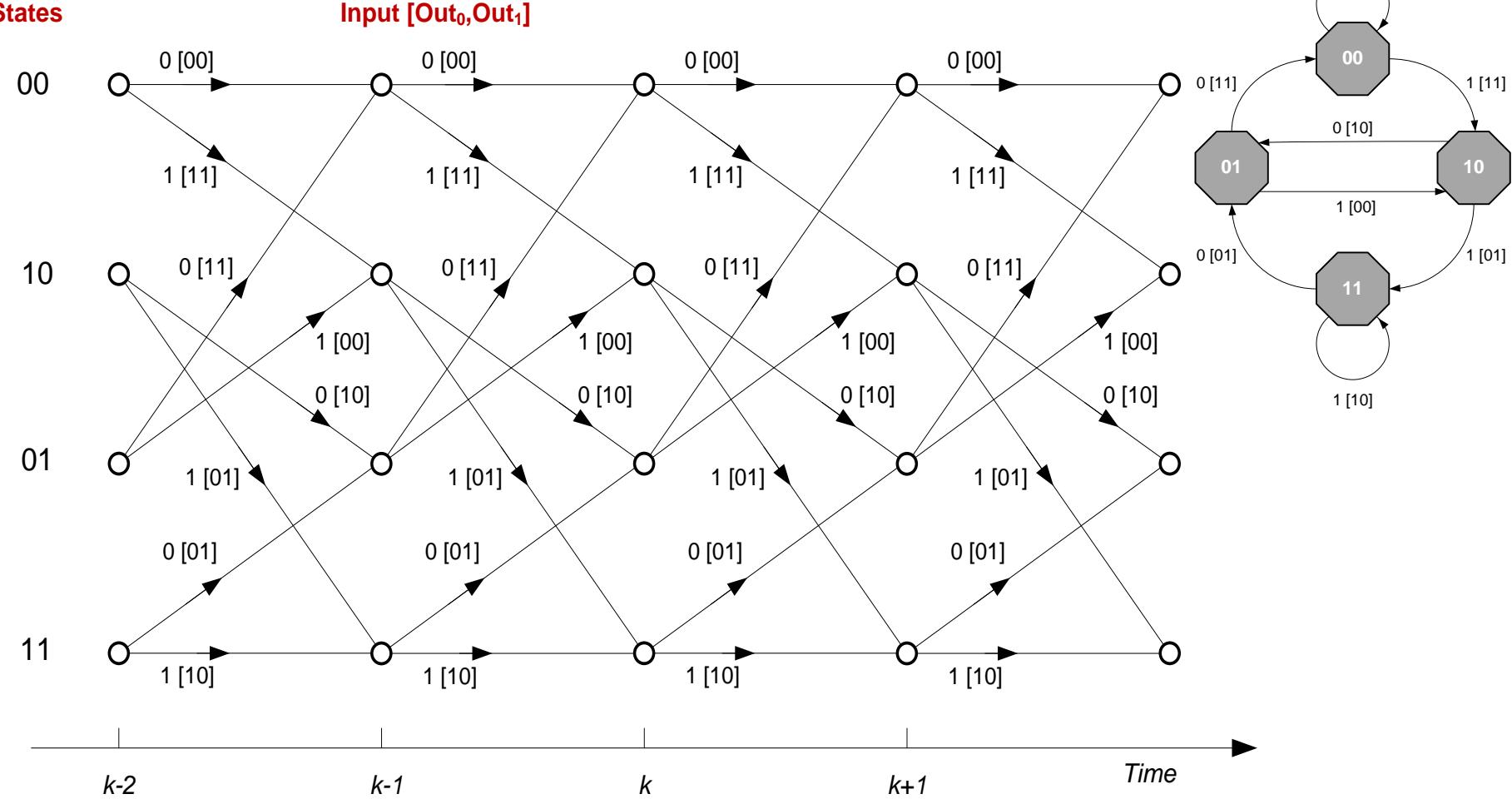


Describes the evolution of the FSM, but does not (explicitly) show time

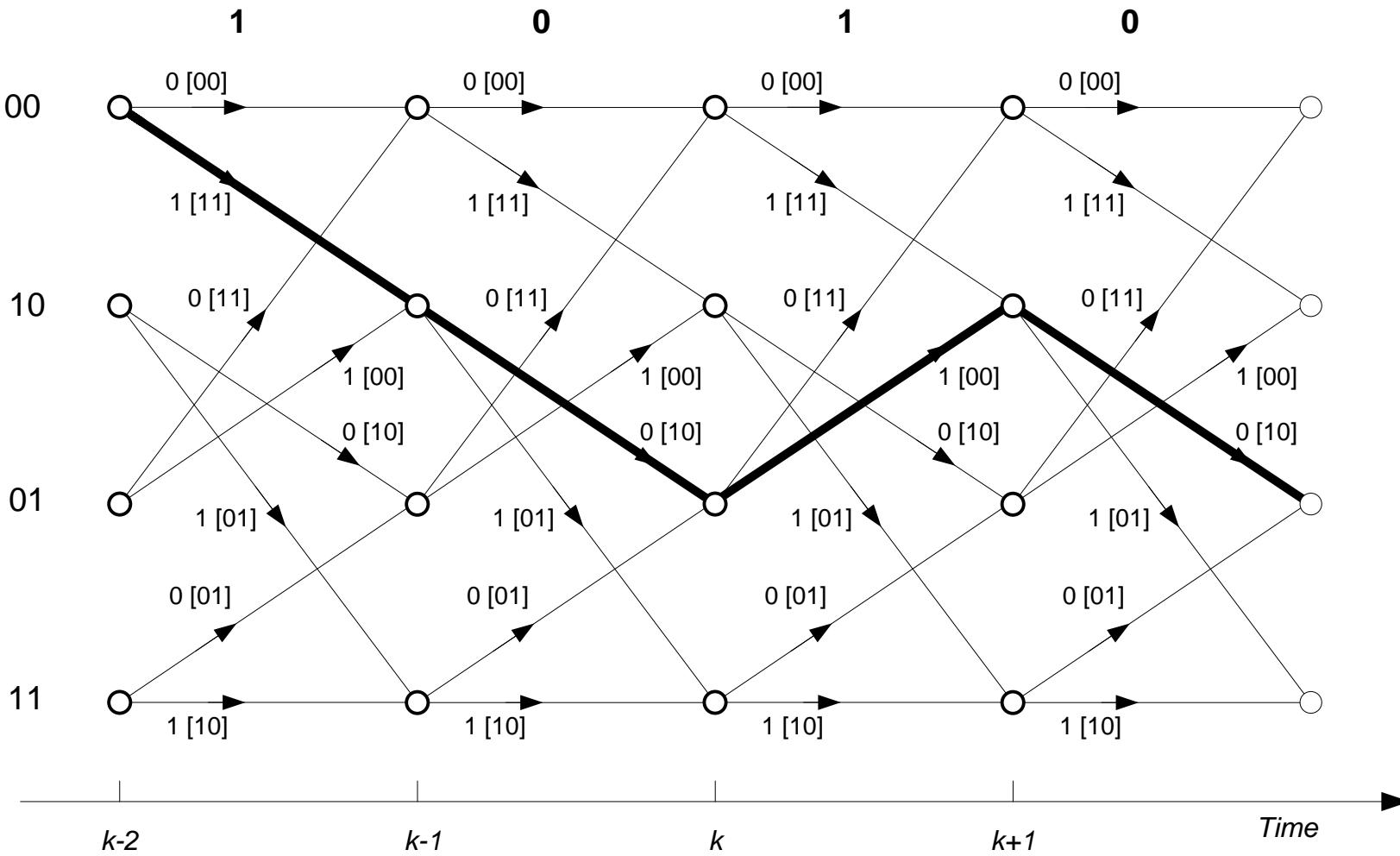
# The Trellis

States

Just like the state diagram, plus TIME



# A Specific Path in the Trellis





## $r=1/2$ Maximum Likelihood Sequence Estimation - HD

1. Observe the *binary* (Hard-Detected) channel output

$$d[n] = a[n] \oplus e[n], n = 0, \dots, N-1$$

$$a[2k] = a_0[k], a[2k+1] = a_1[k], k = 0, \dots, M-1, \quad N = 2M$$

2. Find the *sequence* of source bits  $\hat{\mathbf{b}} = [\hat{b}[0], \hat{b}[1], \dots, \hat{b}[M-1]]$  such that

$$\hat{\mathbf{b}} = \arg \max_{\tilde{\mathbf{b}}} p(\mathbf{d} | \tilde{\mathbf{b}})$$

$$\mathbf{d} = [d[0], d[1], \dots, d[2M-2], d[2M-1]]$$



## r=1/2 Maximum Likelihood Sequence Estimation - SD

1. Observe the *soft* output of the AWGN ( $\alpha \Rightarrow$  remapped  $a$ )

$$r[n] = \alpha[n] + w[n], n = 0, \dots, N-1$$

$$a[2k] = a_0[k], a[2k+1] = a_1[k], k = 0, \dots, M-1 \quad , \quad N = 2M$$

2. Find the *sequence* of source bits  $\hat{\mathbf{b}} = [\hat{b}[0], \hat{b}[1], \dots, \hat{b}[M-1]]$   
such that

$$\hat{\mathbf{b}} = \arg \max_{\tilde{\mathbf{b}}} f_{\mathbf{R}}(\mathbf{r} | \tilde{\mathbf{b}})$$

$$\mathbf{r} = [r[0], r[1], \dots, r[2M-2], r[2M-1]]$$

# Maximum Likelihood Sequence Estimation

1. Hard-detected, binary Input  $\mathbf{d}$

$$\hat{\mathbf{a}} = \arg \min_{\tilde{\mathbf{a}} \in \text{Trellis}} d_H(\mathbf{d}, \tilde{\mathbf{a}})$$

2. Soft Input, real-valued  $\mathbf{r}$

$$\hat{\mathbf{a}} = \arg \min_{\tilde{\mathbf{a}} \in \text{Trellis}} \|\mathbf{r} - \tilde{\mathbf{a}}\|^2$$

We have to *minimize a metrics* in both cases



## Recursive Formulation of the VA: The *Survivors* at time $k-1$

- At time  $k$ , the algorithm has got a sequence of tentative source symbols for every state  $i$  (the *Survivor*  $\text{SURV}_i$ )

$$\mathbf{b}_i[k-1] = \left[ b_i^{(k-1)}[0], b_i^{(k-1)}[1], b_i^{(k-1)}[2], \dots, b_i^{(k-1)}[k-1] \right]$$

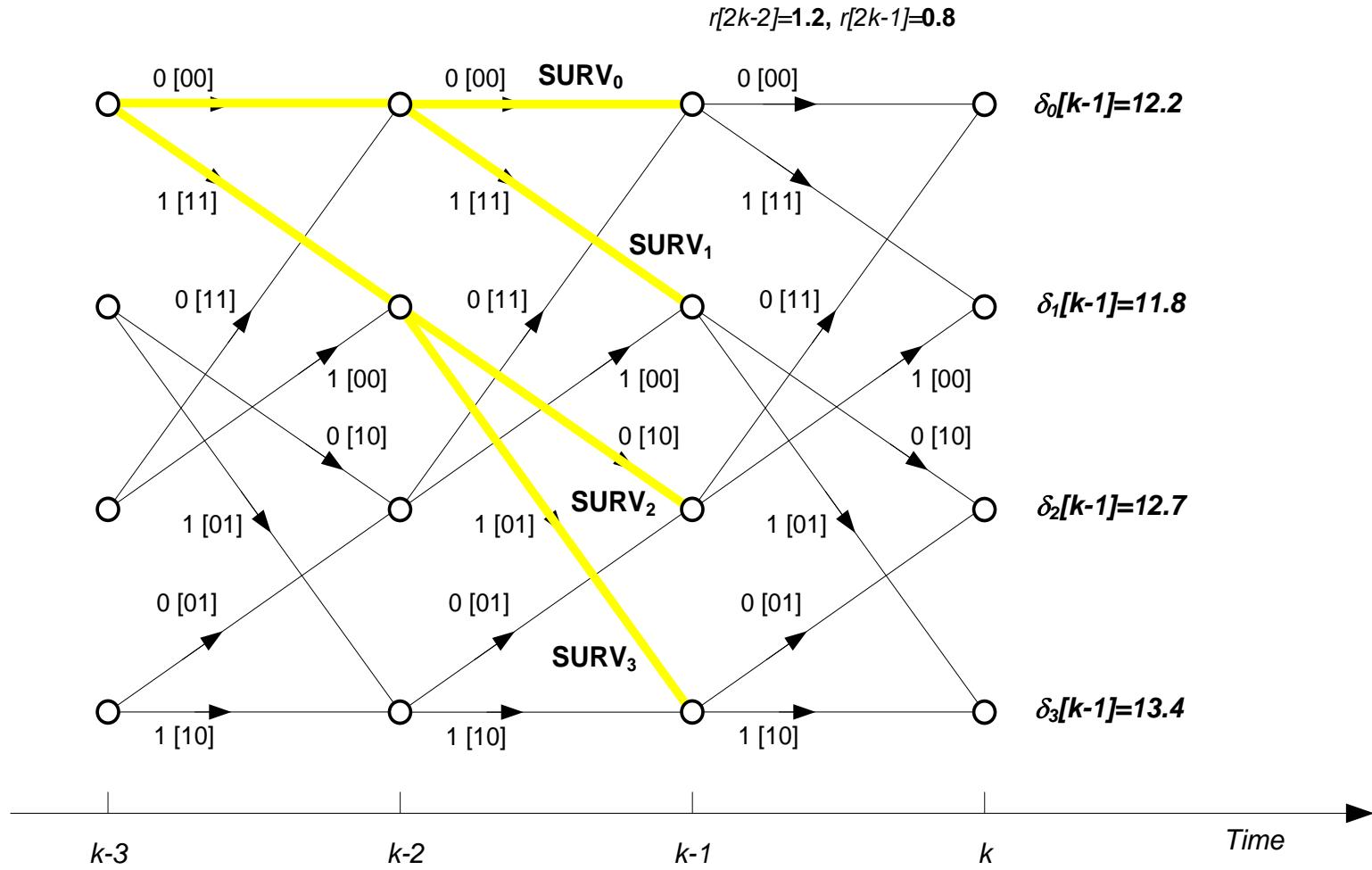
$$i = 0, \dots, N_s - 1$$

- Each survivor also has an associated *accumulated metrics* (one per state)

$$\delta_i[k-1]$$

$$i = 0, \dots, N_s - 1$$

## The *Survivors* at time $k-1$



## What does the VD do? 1/2



- At time  $k-1$  observe the received signal

$$r[2k-2] = \alpha_0[k-1] + w[2k-2], \quad r[2k-1] = \alpha_1[k-1] + w[2k-1]$$

- For each pair of states  $(i,j)$  connected at times  $(k-1,k)$  by a branch, respectively, compute the *branch metrics*

$$\lambda^{(i,j)}[k] = (r[2k-2] - \alpha_0^{(i,j)}[k-1])^2 + (r[2k-1] - \alpha_1^{(i,j)}[k-1])^2$$

- Extend the survivors and compute the new accumulated metrics by *adding* the *branch* metrics to the previous *accumulated* metrics

$$\delta_j^0[k] = \delta_{i_0}[k-1] + \lambda^{(i_0,j)}[k]$$

$$\delta_j^1[k] = \delta_{i_1}[k-1] + \lambda^{(i_1,j)}[k]$$

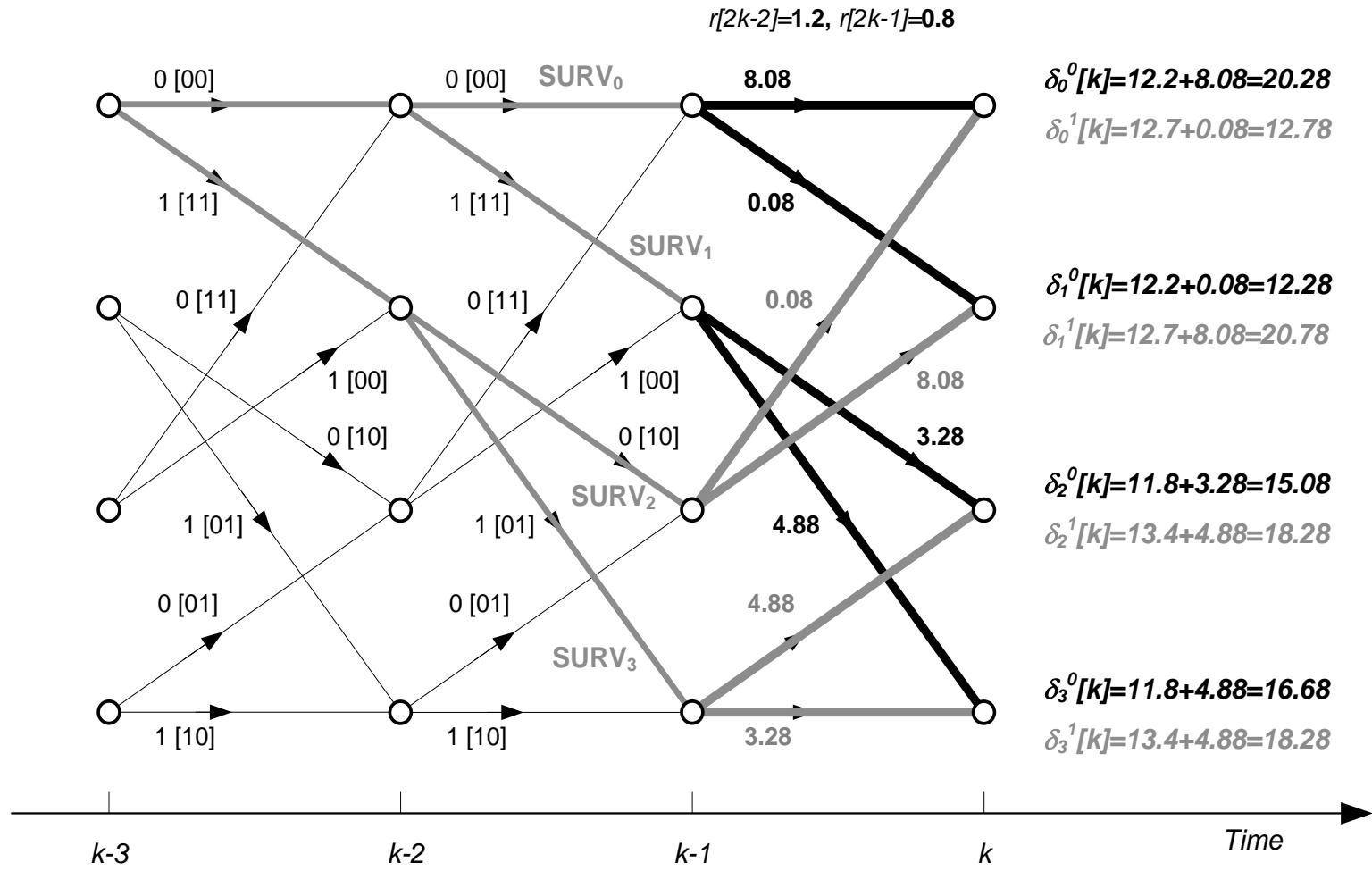
## What does the VD do? 2/2

4. For each state  $i$ , extend the survivors to time  $k$  by *comparing* the new accumulated metrics of the two survivors merging into that state, and then *selecting* the one with the smallest metrics:

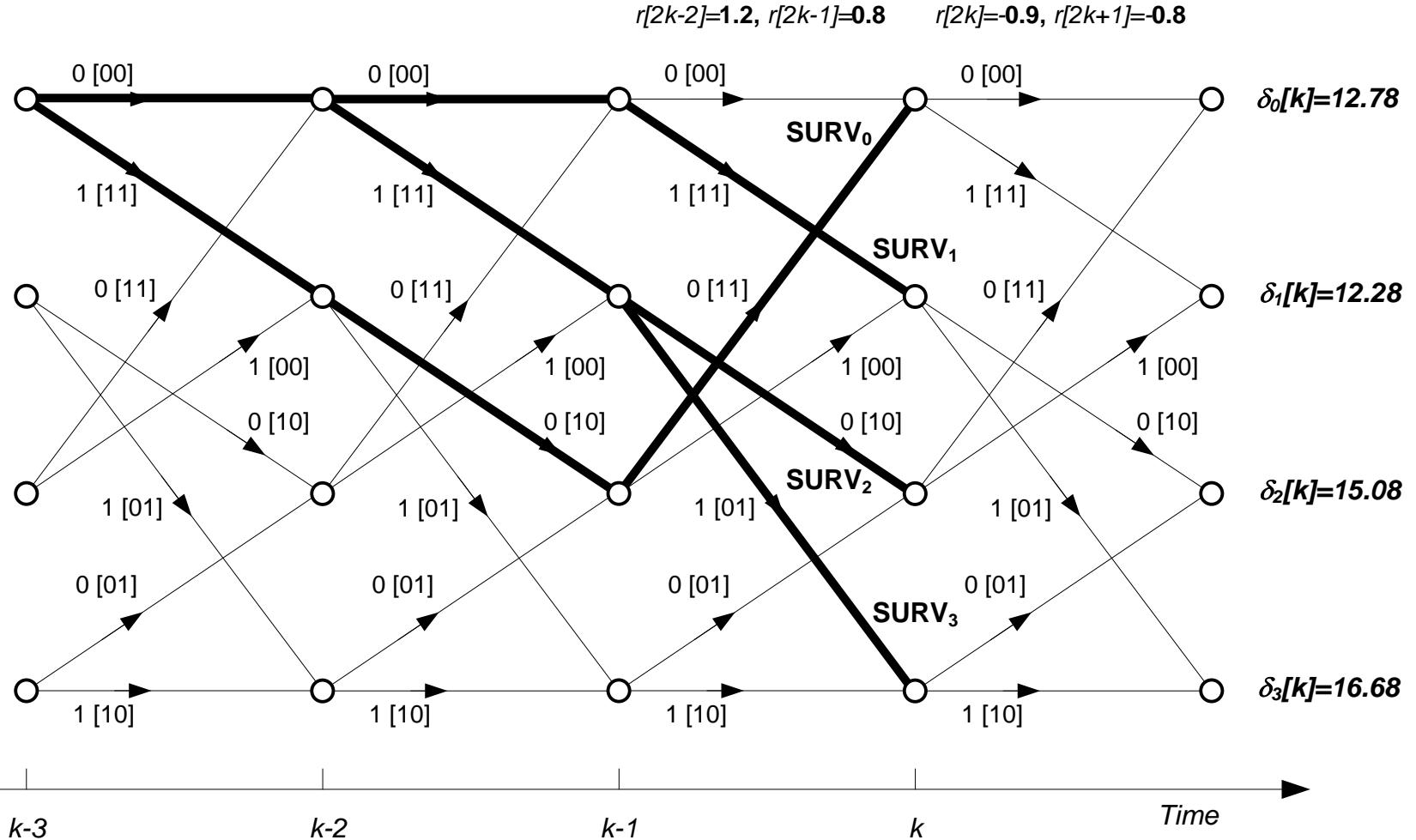
$$\delta_i[k] = \min\left(\delta_{i_0}[k-1] + \lambda^{(i_0,i)}[k], \delta_{i_1}[k-1] + \lambda^{(i_1,i)}[k]\right)$$

**Add-Compare-Select**

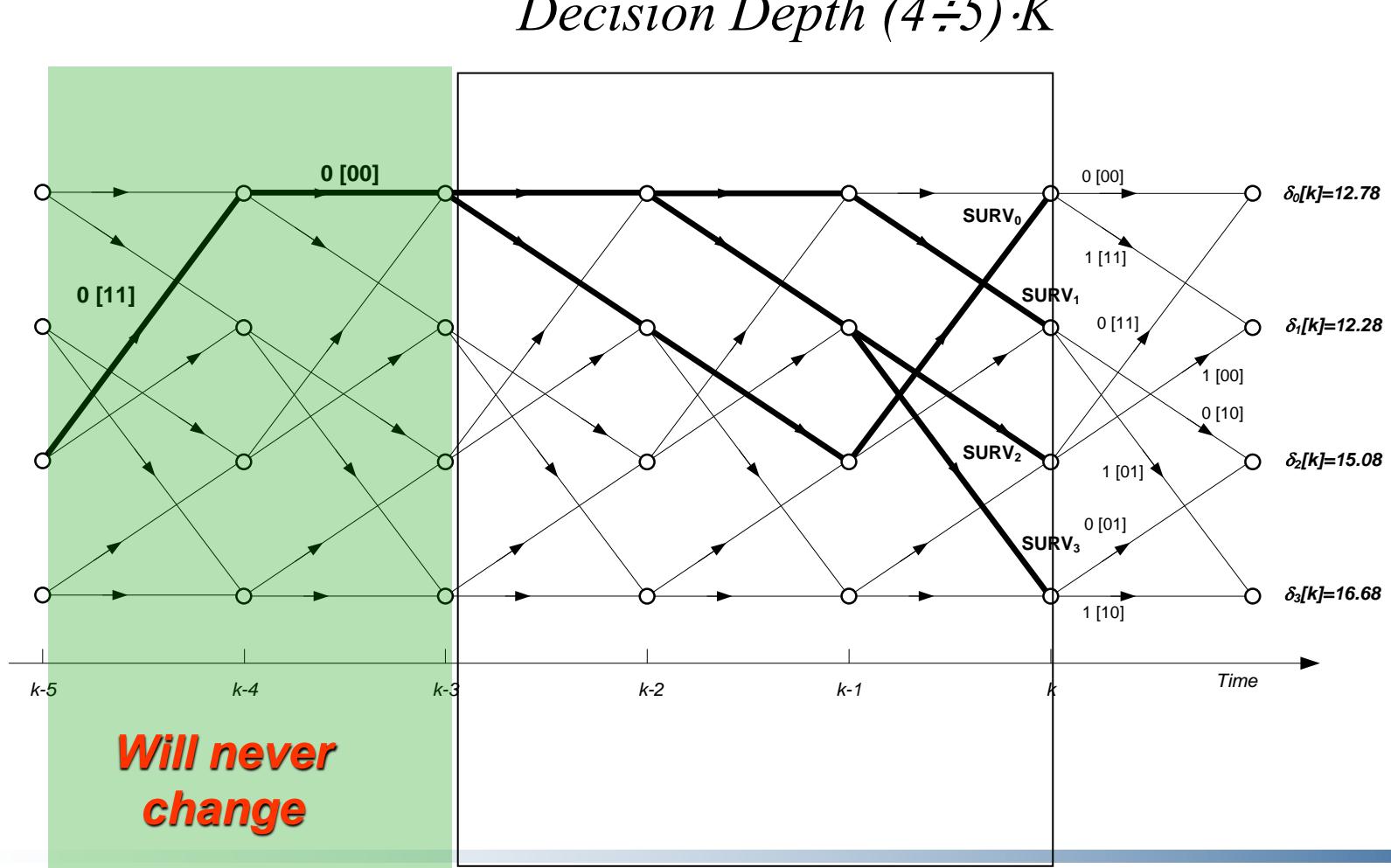
# The Add-Compare-Select (ACS) Procedure



# The Survivors at time $k$

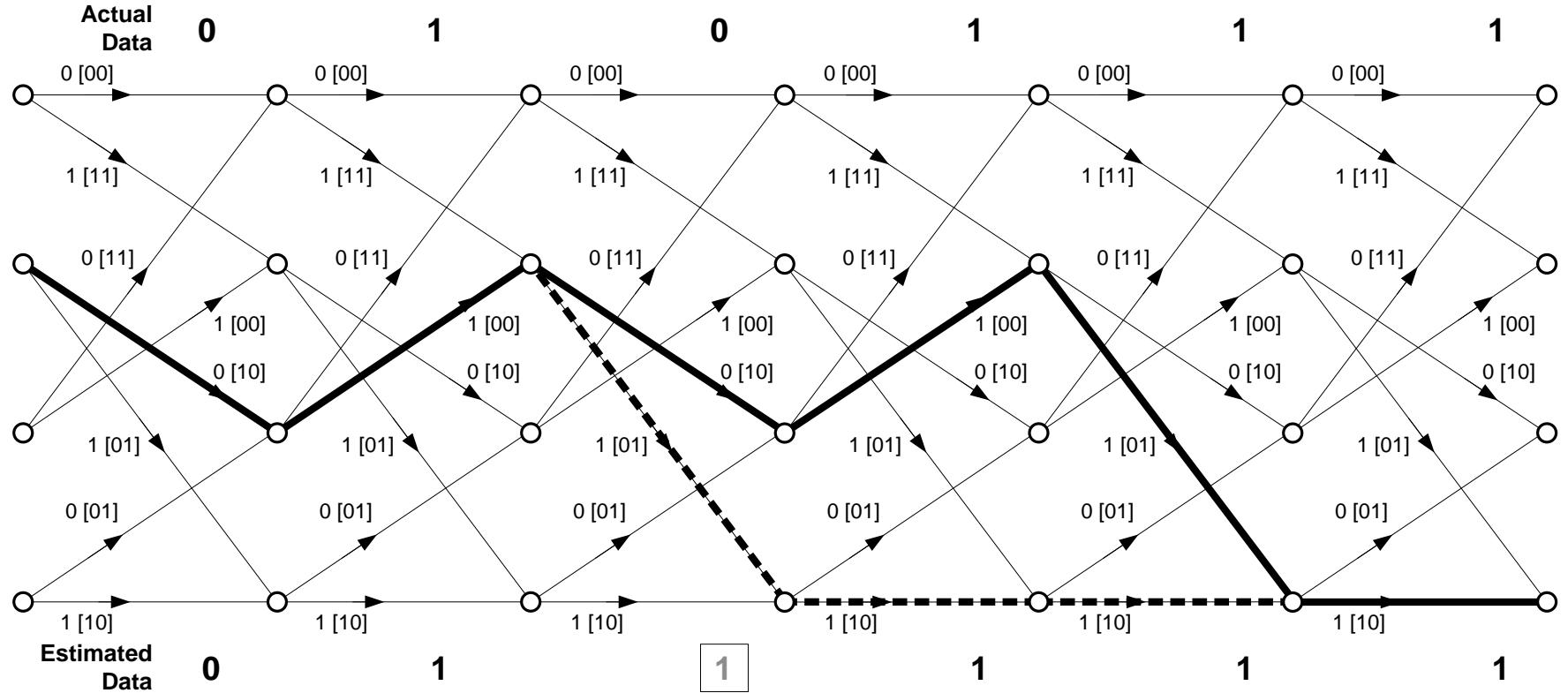


# Back-Merging of Survivors





## Sample Error Event



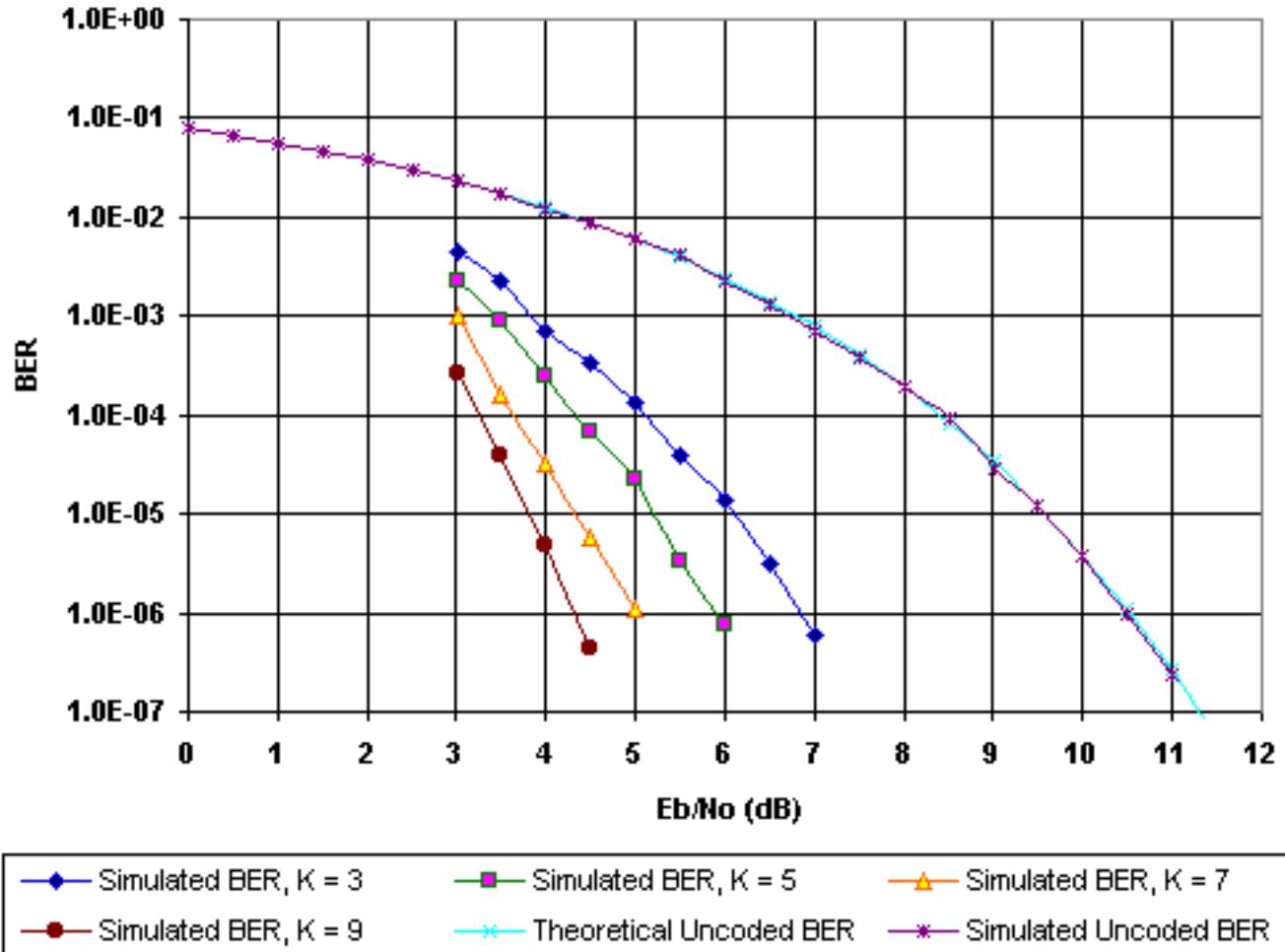
$$d_{free} \triangleq \lim_{M \rightarrow \infty} d_{\min}(M)$$

# BER Performance

$K$	$(h_0[k])_8$	$(h_1[k])_8$	$d_{free}$
3	5	7	5
4	15	17	6
5	23	35	7
6	53	75	8
7	133	171	10
8	247	371	10
9	561	753	12

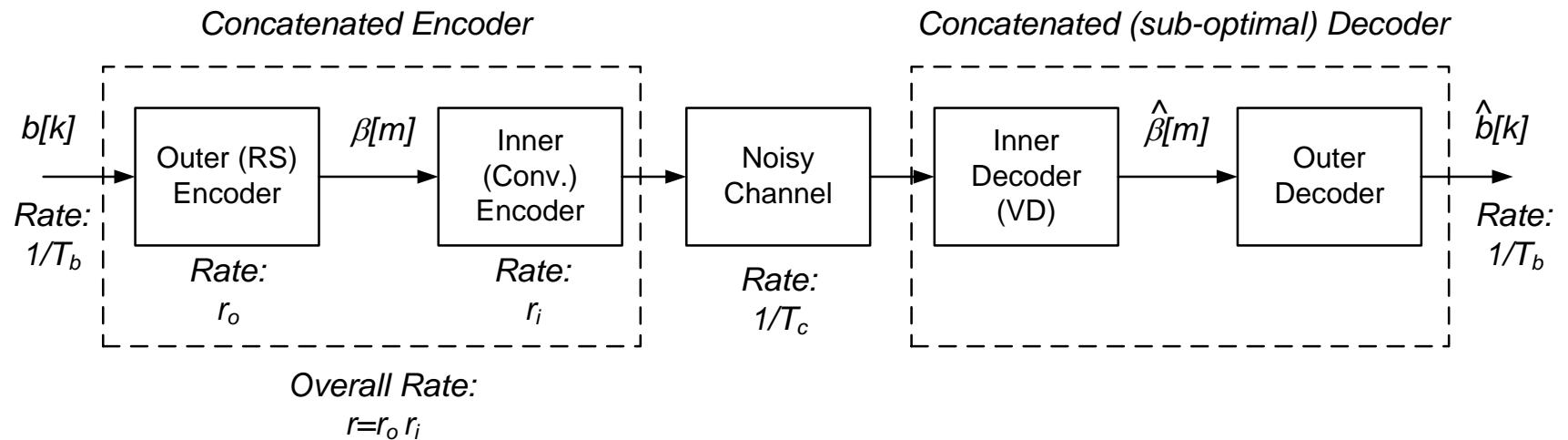
$$BER \geq N_{avg} Q\left( \sqrt{r \cdot d_{free} \frac{2E_b}{N_0}} \right)$$

# BER of $r=1/2$ Best Conv Codes



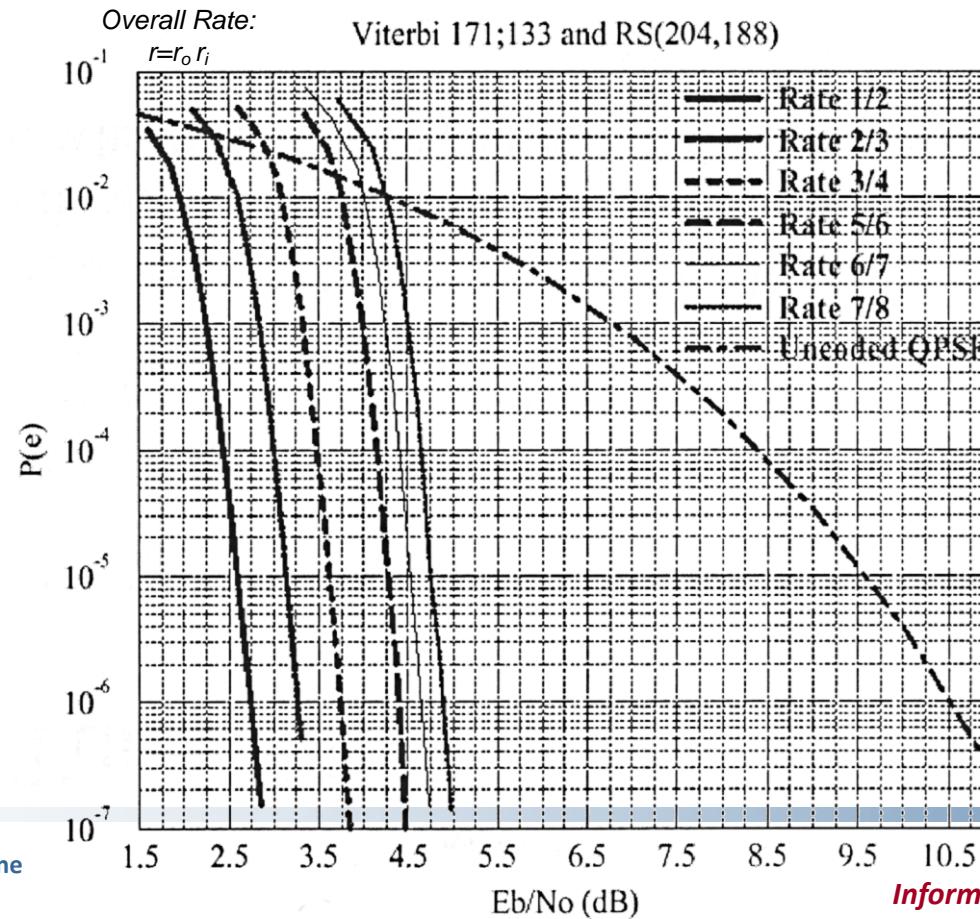
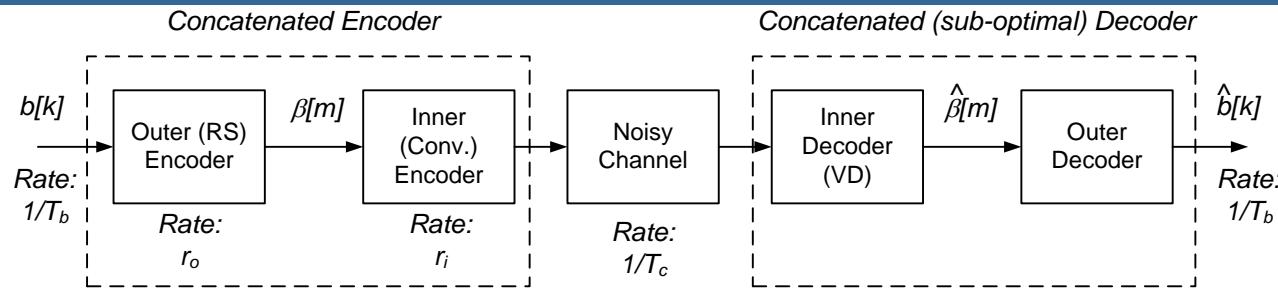
# Concatenated Coding

- How to improve on the performance of one code?
- - Let's use TWO in cascade !

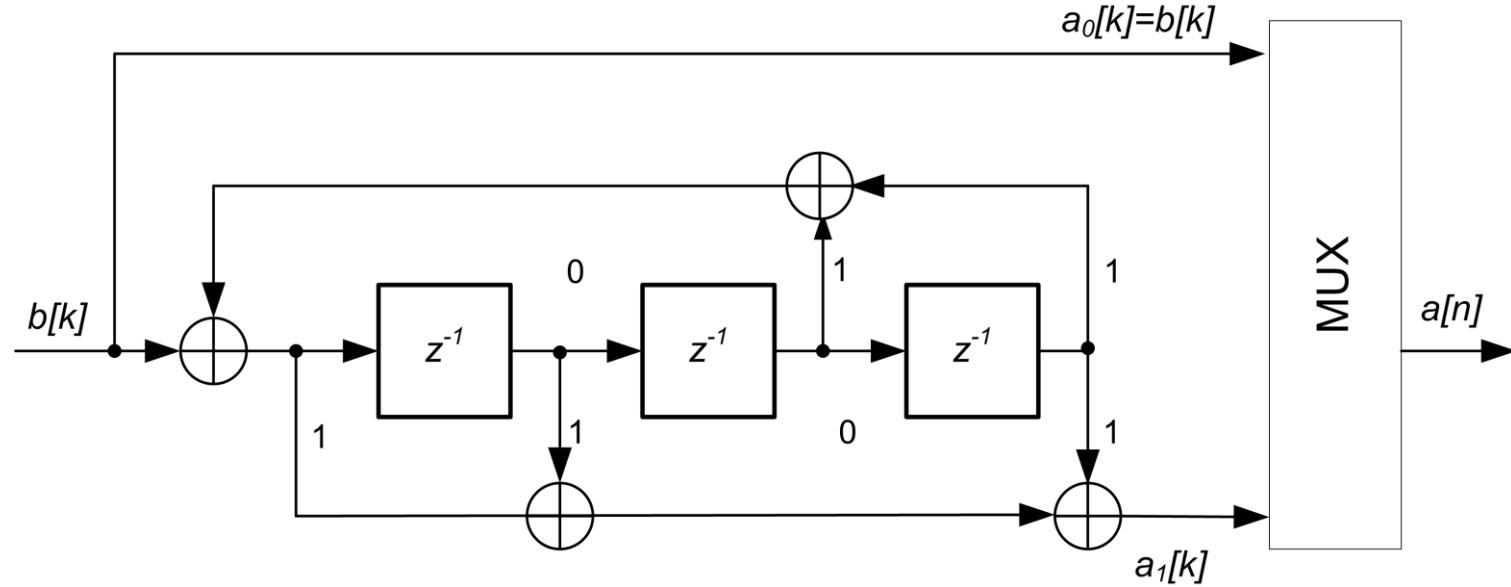


- We call this arrangement *Concatenated Coding*

# R-S & Convolutional Concatenated Coding



# Recursive Systematic Convolutional Codes



- It is not used as a *standalone* encoder – only concatenated with another encoder...